ELLIPSOIDAL POLYASPARTAMIDE POLYMERSOMES WITH ENHANCED CELL-TARGETING ABILITY

BY

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THESIS

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ABSTRACT

Nano-sized polymersomes functionalized with peptides are being increasingly studied for targeted delivery of diagnostic and therapeutic molecules. Earlier computational studies have suggested that ellipsoidal nanoparticles, compared to spherical ones, display enhanced binding efficiency with target cells, but this has not yet been experimentally validated. We hypothesized that hydrophilic polymer chains coupled to vesicle-forming polymers would result in ellipsoidal polymersomes. In addition, ellipsoidal polymersomes modified with cell adhesion peptides bind with target cells more actively than spherical ones. We examined this hypothesis by substituting polyaspartamide with octadecyl chains and varying numbers of poly(ethylene glycol) (PEG) chains. Increasing the degree of substitution of PEG from 0.5 to 1.0 wt% drove the polymer to self-assemble into an ellipsoidal polymersome with an aspect ratio of 2.1. Further modification of these ellipsoidal polymersomes with peptides containing an Arg-Gly-Asp sequence (RGD peptides) led to a significant increase in the rate of association and decrease in the rate of dissociation with a substrate coated with $\alpha_v\beta_3$ integrins. In addition, in a circulation-mimicking flow, the ellipsoidal polymersomes linked with RGD peptides adhered to target tissues more favorably than their spherical equivalents did. Overall, the results of this study will greatly serve to improve the efficiency of targeted delivery of a wide array of polymersomes loaded with various biomedical modalities.
ACKNOWLEDGMENTS

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The author would like to give special appreciation to the staff, Dr. Xiaoxia Wang and Dr. Liping Wang, in Immunological Resource Center. They offered a lot of ideas and technological help in the surface plasmon resonance spectroscopy, which is an essential part of this thesis.

Also thanks to my family members and my friends, especially Angela, Karen, Boya, Youyung, Kay and Matt. They’ve been offering great spiritual supports during the writing of this thesis. Finally, the author was full with gratitude to Grace, the most special one in my life.
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CHAPTER 1: INTRODUCTION

The targeted delivery of biomedical modalities, including imaging contrast agents and drugs, has been extensively studied to improve the quality of diagnoses and treatments of various chronic and malignant diseases. Specifically, nano-sized polymeric vesicles, often called polymersomes, have been increasingly studied for use in the targeted delivery of hydrophilic biomedical molecules, including protein drugs. It is common to tune the targeting capability of polymersomes by varying the number of peptides or antibodies conjugated to the particles’ surfaces. However, this approach often produces only limited improvement in the targeting capability of the polymersomes because of steric interference among molecules on the particle surface. In addition, a large number of targeting peptides or proteins on the particle surface may instead induce non-specific interactions between particles and non-target cells.[1]

Therefore, it would be advantageous to develop a strategy to improve the targeting efficiency of polymersomes with a set number of peptides or proteins. Earlier studies have demonstrated that the shape of a microparticle may be a significant factor in modulating the particle’s targeting capability. For example, elliptical disks injected into a blood vessel-mimicking microchannel were reported to accumulate on target sites more favorably than spherical microparticles.[2] Numerical analysis also suggests that ellipsoidal particles placed in a microchannel drift from the center to the wall more readily than spherical particles do.[3] It is conceivable that the important role of microparticle shape extends to nano-sized polymersomes as well. However, this hypothesis has not been examined to date, because of our limited control over the morphology of polymersomes.
Therefore, we hypothesized that the chemical conjugation of hydrophilic polymers to a vesicle-forming polymer would result in polymersomes with an ellipsoidal shape due to changes in the curvature of polymeric bilayers, and furthermore, that ellipsoidal polymersomes modified with cell adhesion peptides would bind with target cells more favorably than spherical polymersomes. We examined these hypotheses by conjugating varying numbers of poly(ethylene glycol) (PEG) chains to a vesicle-forming poly(2-hydroxyethyl aspartamide) (PHEA) substituted with octadecyl chains, then monitoring the morphological change of the resulting polymersome. The polyaspartamide polymersomes were further modified with oligopeptides containing an Arg-Gly-Asp (RGD) sequence, which binds with cells that overexpress integrins. The binding affinities of these PHEA polymersomes with controlled shapes and cell adhesion molecules were evaluated by quantifying the association and dissociation rates of the polymersomes onto target substrates using surface plasmon resonance (SPR) spectroscopy. Finally, the enhanced targeting capabilities of ellipsoidal polymersomes were assessed by quantifying the number of polymersomes adhered to a target cell layer which had been stimulated to overexpress integrins.
CHAPTER 2: MATERIALS AND EXPERIMENTS

2.1 Synthesis of PHEA-g-C$_{18}$ conjugated with varying number of PEG chains

Poly(succinimide) (PSI) was synthesized by thermal condensation of $L$-aspartic acid (Aldrich) suspended in sulfolane (Aldrich) at 170°C under a nitrogen atmosphere for 14 hours. Phosphoric acid (Fisher Scientific) was used as the catalyst. After cooling to room temperature, PSI was precipitated in excess methanol and successively washed with deionized water until the pH of the suspension reached neutral. The precipitate was dried by lyophilization. Purified PSI was dissolved in $N,N$-dimethylformamide (Fisher Scientific), and an appropriate amount of octadecylamine (Aldrich) was added to the reaction mixture. The mixture was stirred at 70 °C for 24 hours, then cooled to room temperature. Next, designated amounts of ethanolamine (Acros) and excess poly(ethylene glycol) bis(amine) (M$_w$ 2000, Aldrich) were sequentially added to the mixture to synthesize PHEA-g-C$_{18}$ substituted with PEG. The mixture was continuously stirred at 35 °C for six hours. Separately, for the synthesis of PEG-free PHEA-g-C$_{18}$, excess ethanolamine was added to the solution of PSI substituted with octadecyl chains, then stirred at 35 °C for 6 hours. Following completion of the chemical reactions, the products were dialyzed (MWCO 6000-8000, Fisher Scientific) extensively in deionized water for two days and then lyophilized to yield dry powders.

2.2 Modification of PHEA-g-C$_{18}$ polymersomes with RGD peptides

PHEA-g-C$_{18}$ conjugated with varying numbers of PEG chains was dissolved in dimethyl sulfoxide (DMSO) (Fisher Scientific), and the polymer solutions were dropped in deionized water to drive intermolecular self-assembly. The resulting polymersomes were dialyzed for one day to remove the DMSO and then lyophilized. Afterward, the solid samples were dissolved in
0.1 M 2-(N-morpholino)ethanesulfonic acid (MES) buffer (Aldrich). Then, 1-hydroxybenzotriazole (Fluka), 1-ethyl-3-(3-dimethylaminopropyl) carbodiimide (EDC) (Thermo Scientific), and peptides with a sequence of Arg-Gly-Asp-Ser (Sigma) were added to the polymer solution. After reacting for one day, the mixture was dialyzed against deionized water for one day and lyophilized. The final products were stored in the form of powder at -20 °C until characterization.

2.3 Characterization of PHEA-g-C$_{18}$ structure

$^1$H NMR spectra of PHEA-g-C$_{18}$ were collected using a Varian Unity 500 MHz spectrometer. The polymers were dissolved in dimethyl sulfoxide-$d_6$. The integrals of characteristic peaks were used to quantify the degree of substitution (DS) of octadecyl chains in each sample using Eq. (1).

$$DS_{\text{octadecyl chains}}(\%) = \frac{\text{The integral of the peak in 0.85–0.95 ppm}}{\text{The integral of the peak in 4.3–4.7 ppm}} \times 100\%$$  \hspace{1cm} (1)

2.4 Quantification of DS$_{\text{PEG}}$ in PHEA-g-C$_{18}$

The number of free amine groups at the end of PEG chains conjugated to PHEA-g-C$_{18}$ was counted via reactions with 2,4,6-trinitrobenzene sulfonic acid (TNBS, 5 % solution, Aldrich). In brief, PHEA-g-C$_{18}$ dissolved in sodium bicarbonate buffer (0.01 M, pH 8.5) was mixed with TNBS for 15 minutes. Then the absorbance of the mixture was measured at 335 nm using a microplate reader (Synergy HT Multi-Mode Microplate Reader, Biotek Instruments). The measured absorbance was back-calculated to the number of unreacted amines using a calibration curve prepared with standard solutions of varying cysteine (Aldrich) concentrations.
2.5 Imaging of polymersomes with transmission electron microscopy (TEM)

The morphology of self-assembled PHEA polymersomes was observed using TEM (JEOL 2100 with LaB$_6$ emitter). A drop of PHEA polymersome suspension containing 0.1% phosphotungstic acid (Acros) was placed on a formvar-coated grid (SPI Supplies, West Chester, PA). After drying in air, the samples were imaged at 120 kV with a point resolution of 0.23 nm. The geometry of polymersomes was quantified using ImageJ software. Thirty polymersomes were analyzed for each condition.

2.6 Measurement of the diffusion coefficients of polymersomes

Diffusion coefficients of polymersomes were evaluated using a dynamic light scattering (DLS)–based 90Plus particle size analyzer (Brookhaven Instruments Co., Holtsville, NY) equipped with a 35 mW solid-state laser. The scattered light of the sample was measured at a 90° angle to the incident beam, and the distribution of decay rates of scattered light was quantified using Eq. (2),

$$\ln\left[\frac{g^{(2)}(\tau)}{g^{(2)}\left(0\right)} - 1\right] = \ln\frac{\beta}{2} - \bar{\Gamma}\tau + \frac{\kappa_2\tau^2}{2!} - \frac{\kappa_3\tau^3}{3!} + \ldots$$  \hspace{1cm} (2)

where $\tau$ is a given delay time, $g^{(2)}(\tau)$ is the intensity of the scattered light during the interval of $\tau$, $\beta$ is a factor that depends on the experimental geometry, $\bar{\Gamma}$ is the average decay rate, and $\kappa$ represents the constants. The diffusion coefficient of polymersomes was then calculated from $\bar{\Gamma}$ using Eq. (3),

$$\bar{\Gamma} = \frac{\kappa}{Dq^2}$$  \hspace{1cm} (3)

where $q$ is the magnitude of the scattering wave vector.$^{[5]}$
2.7 Measurement of the critical aggregation concentration (CAC) of polymersomes

Pyrenes (Acros) were suspended in the PHEA-g-C\textsubscript{18} solution at a concentration of $10^{-4}$ mg/ml. The fluorescent spectra of the suspensions with varying PHEA-g-C\textsubscript{18} concentrations were collected using a FluoroMax®-4 spectrometer (HORIBA Jobin Yvon). Excitation wavelength was set at 330 nm and the slit widths for excitation and emission were both fixed at 2 nm. The resulting emission between 350 and 450 nm was collected. The CAC was determined by the polymer concentration at the point where the emission intensity ratio ($I_3/I_1$) between the third vibronic peak at 385 nm ($I_3$) and the first vibronic peak at 373 nm ($I_1$) was significantly increased.[6]

2.8 Measurements of the association/dissociation rates of the polymersomes using surface plasmon resonance (SPR)

A gold sensor chip (GE Healthcare, USA) was modified to present a 11-mercaptoundecanoic acid (MUA, Aldrich) monolayer by injecting MUA solution into the flow cell in a Biacore 3000 (GE Healthcare, USA) for 30 minutes at 25°C. The carboxylic groups of the MUA monolayer were then activated by flowing 0.2 M EDC and 0.05 M $N$-hydroxysuccinimide (NHS, Aldrich) solutions through the flow cell for seven minutes. After activation, 1,2-dipalmitoyl-sn-glycero-3-phosphoethanolamine (DPPE, Sigma) was chemically linked to the MUA layer by flowing DPPE solution until the response unit was saturated. The remaining NHS-ester groups on the MUA surface were blocked by injecting 1.0 M ethanolamine hydrochloride into the flow cell. Then, the top layer was built by injecting in a solution of 2,3-dipalmitoyl-sn-glycero-1-phosphocholine (DPPC, Sigma) incorporated with recombinant human integrin $\alpha_v\beta_3$ (R&D) for five minutes. Finally, the PHEA polymersomes suspended in PBS at a concentration of 0.5 mg/ml were injected into the flow cell to examine the association and dissociation rates of the
polymersomes with the gold sensor chip modified with DPPC and integrins. The media flow rate was kept constant at 5.0 μL/min. The whole setup is shown in Figure 1. Kinetic data from SPR sensorgrams were obtained with the assistance of BIAevaluation version 4.1, where a 1:1 Langmuir binding model was applied to quantify the association and dissociation rates.[7]

2.9 Analysis of polymersome adhesion onto a cell layer under static conditions

Mouse bone marrow stromal cells (BMSCs, ATTC) with a passage number between 22 and 30 were seeded on glass bottom dishes (MatTek, Ashland, MA) and incubated in Dulbecco's Modified Eagle Medium (DMEM) supplemented by 10% fetal bovine serum and 1% penicillin/streptomycin (all from GIBCO) at 37 °C until reaching confluency. Then, PHEA polymersomes encapsulated with fluorescein-conjugated dextran (Mw 40000, Sigma) were added into serum-free DMEM, and the cells and polymersomes were incubated for 10 minutes at 37 °C. Figure 2 gives the schematic description of this experiment. Afterward, the mixture was removed, and cells were washed with PBS three times. Then the cells were fixed with 10% neutral buffered formalin (NBF) and fluorescence from the polymersomes bound with cells was captured using a laser-scanning confocal microscope (Leica SP2). Finally, the fluorescence yield per image was quantified by counting the number of pixels showing more green fluorescence than specific threshold values using the image processing software ImageJ (National Institutes of Health). Five different areas of the cell layer were analyzed with at least three different samples per condition. Each condition was tested in triplicate. The statistical significance between each two data populations was evaluated using an unpaired, two-tailed Student’s t-test in Microsoft Excel. Differences were considered statistically significant for p < 0.05.
2.10 Analysis of polymersome adhesion onto a cell layer under flow

Alternatively, the BMSCs were plated onto a glass substrate fixed in a custom-built flow chamber in order to examine the adhesion of polymersomes to a cell layer under shear flow. The glass substrates were sterilized and coated with collagen (Advanced BioMatrix) before cell seeding. After the formation of a cell layer, the substrate was placed into the chamber and exposed to shear flow at a rate of 200 ml/hr. The suspension of PHEA polymersomes encapsulated with fluorescein-conjugated dextran was injected into the chamber via syringe, and the polymersomes were circulated for 10 minutes. Figure 3 shows the schematic description of this experimental setup. Then, the cells on the glass substrate were fixed with 10 % NBF and adhesion of polymersomes to the cell layer was examined by measuring cell fluorescence under the confocal microscope. Finally, the fluorescence yield was quantified using ImageJ software. Five different areas of the cell layer were analyzed with at least three different samples per condition.
2.11 Figures

**Figure 1.** Schematic description of the experimental setup for surface plasmon resonance (SPR) analysis to evaluate binding kinetics of the PHEA polymersomes.

**Figure 2.** Schematic description of the *in vitro* static experimental set-up. The bone marrow stromal cells (BMSCs) were plated at confluency on the petri-dish, and PHEA polymersomes modified with varying $DS_{PEG}$ and $DS_{RGD}$ were added into the DMEM.
Figure 3. The experimental setup of a flow chamber designed to evaluate binding affinity of PHEA polymersomes to BMSCs. The polymersomes encapsulated with fluorescein-conjugated dextran were added to DMEM that flowed at a rate of 200 ml/hr.
CHAPTER 3: RESULTS AND DISCUSSIONS

3.1 Preparation and characterization of the ellipsoidal poly(hydroxyethyl aspartamide) (PHEA) polymersomes

First, poly(2-hydroxyethyl aspartamide) substituted with octadecyl chains, termed PHEA-g-C_{18}, was synthesized by modifying poly(succinimide) (PSI) (M_w: 19,000 g/mol, PDI 1.5) in a top-down manner. PSI prepared via the acid-catalyzed polycondensation of L-aspartic acid reacted with designated amounts of octadecylamine and ethanolamine to prepare PHEA-g-C_{18} through nucleophilic substitution to PSI (Figure 4).[8] The chemical structure of the PHEA-g-C_{18} was confirmed by ^1H nuclear magnetic resonance (NMR) spectra (Figure 5). The degree of substitution (DS) of octadecyl chains to the PHEA backbone (DS_{C18}), defined as a mole percentage of succinimide units substituted with octadecyl chains, was in the range of 24 to 28 mole%, according to the integrals of the characteristic NMR peaks at 0.85 to 0.95 ppm and 4.3 to 4.7 ppm (Table 1). The peak at 0.85 to 0.95 ppm represents protons of methyl groups at the ends of the substituted octadecyl chains, and the peak at 4.3 to 4.7 ppm represents protons on the polyaspartamide backbone.

Next, the PHEA-g-C_{18} was chemically linked with poly(ethylene glycol) (PEG, M_w 2,000 g/mol) chains via additional nucleophilic substitution with PEG diamine. The DS of PEG (DS_{PEG}) to PHEA was varied from 0 to 0.5 to 1.0 mol% by altering the molar ratio between PEG diamines and PHEA. The resulting DS_{PEG} was quantified with chemical assays to count the number of free amine groups of PEG diamines (Table 1). Increasing DS_{PEG} from 0.5 to 1.0 mol% doubled the critical aggregation concentration (CAC) of PEG-conjugated PHEA-g-C_{18}, which was marked by the significant increase in the emission intensity ratio (I_3/I_1) of pyrene between 385 (I_3)
and 373 (I₁) nm (Table 1 and Figure 6). The increasing CAC with the larger DS_{PEG} is consistent with the established fact that the enhanced hydrophilicity causes a rise of the CAC values.⁹

Incorporation of the resulting PEG-conjugated PHEA-g-C_{18} into aqueous media resulted in intermolecular self-assembly into polymersomes. The shape of these polymersomes was found to be mediated by the DS_{PEG} of PHEA. The PHEA-g-C_{18} with DS_{PEG} of 0 and 0.5 mol% formed spherical polymersomes with an average radius of 57 (± 28) and 117 (± 35) nm, respectively, as imaged by transmission electron microscope (TEM) (Figure 7a & 7b). In contrast, the PHEA-g-C_{18} substituted with PEG at DS of 1.0 mol% self-assembled to form ellipsoidal polymersomes with an average polar radius (b₁) of 109 (± 36) nm, average equatorial radius (a₁) of 52 (± 16) nm and average aspect ratio of 2.1 (Figure 7c & 7e). The average surface area of the ellipsoidal polymersomes was between PEG-free PHEA-g-C_{18} and PHEA-g-C_{18} with DS_{PEG} of 0.5 mol%. In contrast, simply mixing PEG with PHEA-g-C_{18} only minimally altered the spherical morphology of PHEA-g-C_{18} polymersomes (Figure 7d). These results confirm that the number of PEG chains chemically linked to PHEA-g-C_{18} is a key factor in driving the sphere-to-ellipsoid transition of PHEA-g-C_{18} polymersomes.

The resulting ellipsoidal PHEA polymersomes substituted with PEG at a DS of 1.0 mol% exhibited higher diffusivity than either spherical vesicles prepared with unmodified PHEA-g-C_{18} or PHEA-g-C_{18} substituted with PEG at a DS_{PEG} of 0.5 mol%, as characterized with the dynamic light scattering unit (Figure 8). The diffusivity of polymersomes suspended in phosphate buffer saline (PBS) was proportional to DS_{PEG}. In contrast, the diffusivity of polymersomes suspended in a blood-mimicking plasma solution disproportionally increased as DS_{PEG} was increased from
0.5 to 1.0 mol%. These results demonstrate that the ellipsoidal PHEA polymersomes have a higher diffusivity than spherical ones in various media, likely due to their ellipsoidal shape. We suggest that the higher diffusivity of ellipsoidal polymersomes should be advantageous in their transportation through capillaries, where the effects of particle diffusion on velocity becomes significant.\textsuperscript{[10]}

### 3.2 Modification of the ellipsoidal PHEA polymersomes with cell adhesion peptides

Both spherical and ellipsoidal PHEA polymersomes were further modified with varying numbers of oligopeptides containing an Arg-Gly-Asp sequence, termed RGD peptides, so that the modified polymersomes would adhere to a target tissue that over-expressed integrins. It is well known that several pathologic tissues, including tumor and inflammatory tissues, contain more cells that overexpress integrins, such as $\alpha_v\beta_3$, than normal tissue.\textsuperscript{[11]} In addition, RGD peptides have often been used for targeted drug delivery; they are coupled to drug molecules or drug-encapsulating microparticles and injected into the circulation.\textsuperscript{[12]} In this study, the RGD peptides were linked exclusively to the ends of PEG tails on the polymersome surface via a chemical reaction between the carboxyl ends of the peptides and the amine groups of the PEG tails (Figure 9).

The binding affinities of the resulting RGD peptide-conjugated polymersomes to a target cell were evaluated by measuring association and dissociation rates of the polymersomes with a model target cell membrane using surface plasmon resonance (SPR) spectroscopy. The target cell membrane was built by assembling a monolayer consisting of lipid molecules and $\alpha_v\beta_3$ integrins on the SPR chip. The subsequent flow of the PHEA polymersomes modified with PEG chains and RGD peptides increased the $k_a$ of the polymersomes with the model cell membrane, compared to the PHEA-g-C\textsubscript{18} modified only with PEG chains (Figure 10 & Table 2). This increase in $k_a$
became much larger as $D_{\text{PEG}}$ was increased from 0.5 to 1.0 mol%, over which the polymersome shape changed from a sphere to an ellipsoid. No significant change in $k_d$ was found with increasing $D_{\text{PEG}}$ from 0.5 to 1.0 mol%.

Subsequently, the binding RU value was doubled by increasing $D_{\text{PEG}}$ from 0.5 to 1.0 mol% at a $D_{\text{RGD}}$ of 0.5 mol%. Note that the change in the binding RU value corresponds to change in the mass density of the molecules on the SPR chip.\cite{13} Therefore, this result indicates that increasing $D_{\text{PEG}}$ led to an increase of the number of polymersomes adhered to the artificial cell membrane, due to the increase in $k_a$. In addition, at a $D_{\text{PEG}}$ of 1.0 mol%, an increase in $D_{\text{RGD}}$ from 0.5 to 1.0 mol% resulted in a decrease in $k_d$ by two orders of magnitude, which contributed to the increase in the binding RU value.

For control experiments, the model cell membrane assembled with only lipid molecules was also exposed to polymersomes modified with both PEG and RGD peptides. This experiment displayed a decrease in $k_a$ and binding RU values as $D_{\text{RGD}}$ was increased from 0 to 0.5 mol% (Table 3). These results suggest that adhesion of RGD peptide-modified polymersomes to the integrin-coated substrate results from specific binding between peptides and integrins. However, the increase in the binding RU values resulting from the increase of $D_{\text{RGD}}$ from 0.5 to 1.0 mol% suggests that a large population of RGD peptides on the polymersome surface may preferentially induce the non-specific adhesion of the polymersome. These results therefore emphasize the importance in developing a strategy to fine-tune the adhesion of nanoparticles to the target site with a given number of peptides or proteins.
3.3 *In vitro* evaluation of the targeting capability of PHEA polymersomes

First, the targeting capability of PHEA polymersomes modified with both PEG and RGD peptides was evaluated using a model tissue under static conditions. The model tissue was prepared by plating bone marrow stromal cells (BMSCs) on the surface of a cell culture well at confluency. These cells presented minimal integrin expression on their exposed surfaces, which was characterized by immunostaining for $\alpha_v\beta_3$ integrins.\[^{[14]}\] In this experiment, PHEA polymersomes encapsulated with fluorescein-conjugated dextran were used to identify the polymersomes adherent to the cell surfaces. The polymersomes minimally adhered to the model tissue, as confirmed by minimal fluorescence from the cells (Figure 11a). The number of polymersomes adherent to the model tissue was not dependent on either DS\(_\text{PEG}\) or DS\(_\text{RGD}\) (Figure 11b).

Next, the model tissue was exposed to shear flow in a flow chamber at a rate of 200 ml/hr in order to elevate cellular $\alpha_v\beta_3$ integrin expression on the cell surface exposed to the flow.\[^{[15]}\] PHEA polymersomes modified with PEG and RGD peptides, each at a DS of 0.5 mol%, adhered to the tissue more actively than the PHEA polymersomes modified only with PEG chains, as characterized by an increase in the fluorescence from the cells (Figure 12a). In addition, at a given DS\(_\text{RGD}\) of 0.5 mol%, an increase in DS\(_\text{PEG}\) from 0.5 to 1.0 mol% resulted in a two-fold increase in the fluorescence from the cells (Figure 12b).

3.4 Discussions

Overall, the results clearly demonstrate that the morphology of nano-sized polymersomes is one of the key factors in controlling their binding affinity to target cells. We propose that the enhanced binding affinity of ellipsoidal polymersomes should be attributed to increases in the lateral drifting rate and adhesion probability when compared with spherical vesicles. Several
computational studies have previously suggested that the lateral drifting velocity of non-spherical particles is proportional to their aspect ratio.\textsuperscript{[16]} In addition, it has been suggested that ellipsoidal particles have a higher probability of adhesion than spherical particles, because the longer axis of the particles can align with the substrate and subsequently form a greater number of receptor-ligand bonds.\textsuperscript{[17]}

The underlying mechanism for the self-assemble vesicles of copolymers has been found to be related to the hydrophilic-to-hydrophobic ratio of the component. Our model polymers in this study have the degree of substitution of octadecyl chains of PHEA-g-C18 in the range of 24 to 28 \%, which correspond to the weight fraction of octadecyl chains (\(f_{C18}(w)\)) of 0.41 to 0.45. With the high \(f_{C18}(w)\) value, the PHEA morphology is expected to self-associate into vesicles.\textsuperscript{[18]}

Nonspherical nanoparticles made by self-assembly of amphiphilic copolymers containing PEG segments have also been reported in previous studies,\textsuperscript{[19]} though the underlying mechanism of the formation of elongated shapes remains unclear due to the complexity of copolymer systems. In this (PHEA-g-C\(_{18}\))-g-PEG system, knowing that the spontaneous curvature of the particle membrane is scaled at least quadratically with the mole fraction of grafted PEGs,\textsuperscript{[20]} we could rationalize the shape transformations with the shape transformation pathways of the binary vesicle by Huang \textit{et. al.}.\textsuperscript{[21]} Based on their simulation results, spherical vesicles with a low compositional ratio transform into the prolate shape with the increasing of spontaneous curvatures. In sum, these results confirm that the number of PEG chains chemically linked to vesicle-forming polymers is a key factor to control the vesicle shape transformation from spheres to prolaters. In sum, this morphological transition of the polymersomes driven by PEG chains is
attributed to changes in the bending modulus and spontaneous curvature of the polymeric bilayer.

Recently, a few studies using many model particles, including rod-shaped gold nanoparticles and polystyrene microparticles, have experimentally demonstrated the importance of a particle’s morphology in tuning its binding affinity to target substrates.\textsuperscript{[22]} However, our study is the first to demonstrate that the ellipsoidal particle shape is also important in improving the targeting capability of the polymersome, which can be used as a carrier of hydrophilic biomedical molecules in several clinical applications. The enhanced targeting capability of cell-adherent ellipsoidal polymersomes needs to be further examined \textit{in vivo}; we suggest that the polymersomes developed in this study have a strong potential to improve the quality of targeted delivery of various imaging contrast agents and therapeutic molecules.
3.5 Figures

**Figure 4.** The overall reaction scheme of PHEA substituted with octadecyl chains (C₁₈) and varying degrees of substitution of PEG-NH₂ chains (DS_{PEG}).
Figure 5. $^1$H NMR spectra of PHEA substituted with octadecyl chains and PEG. (a) PEG-free PHEA-g-C$_{18}$, (b) PHEA-g-C$_{18}$ substituted with PEG at DS$_{PEG}$ of 0.5 mol%, and (c) PHEA-g-C$_{18}$ substituted with PEG at DS$_{PEG}$ of 1.0 mol%. In the spectra, every characterization peak was assigned according to the polymer chemical structure; additionally, the peaks with * correspond to the residue of DMF solvent, and those with # correspond to the remaining PSI units.
Figure 6. Fluorescent analysis of the critical aggregation concentration (CACs) of PHEA-g-C18 molecules modified with varying DS_{PEG} in deionized water. (a) PEG-free PHEA-g-C18, (b) PHEA-g-C18 substituted with PEG at DS_{PEG} of 0.5 mol%, and (c) PHEA-g-C18 substituted with PEG at DS_{PEG} of 1.0 mol%. 
Figure 7. TEM micrographs of PHEA-g-C₁₈ polymersomes with varying DS_{PEG} of 0 (a), 0.5 (b), and 1.0 mol% (c). Micrographs (d) represents polymersomes formed from mixture of PHEA-g-C₁₈ and free PEG chains. (e) The scheme of ellipsoidal PHEA polymersome shown in (c) with the polar radius ($b_1$) and the equatorial radius ($a_1$).
**Figure 8.** Diffusion coefficients of PHEA-g-C$_{18}$ polymersomes substituted with varying DS$_{\text{PEG}}$ in PBS (opened bar) and plasma solution (filled bar).

**Figure 9.** The reaction scheme to chemically link RGD peptides to PEG of PHEA-g-C$_{18}$ while varying the degrees of substitution of RGD peptides (DS$_{\text{RGD}}$).
Figure 10. (a) Effects of the shape of PHEA polymersomes controlled with DS_{PEG} on the binding kinetics of polymersomes to α_{v}β_{3} integrins. In this experiment, DS_{RGD} was kept constant at 0 or 0.5 mol%. (b) Effects of DS_{RGD} of PHEA polymersomes on the binding kinetics of polymersomes to α_{v}β_{3} integrins. DS_{PEG} was kept constant at 1 mol% to maintain the ellipsoidal morphology of polymersomes.
Figure 11.  (a) Confocal microphotographs of BMSCs incubated for 10 minutes with DMEM containing PHEA polymersomes modified with varying DS_{PEG} and DS_{RGD}. The fluorescein-conjugated dextran was encapsulated into PHEA polymersomes.  (b) Effects of the DS_{PEG} and DS_{RGD} on the number of polymersomes bound to target BMSCs, in the absence of flow. Each value and error bar in the plot represents the mean and standard deviation from three independent experiments.
Figure 12.  (a) Confocal microphotographs of BMSCs exposed in the flow chamber for 10 minutes to fluorescent PHEA polymersomes modified with varying DS$_{PEG}$ and DS$_{RGD}$.  (b) Quantitative analysis of the effects of DS$_{PEG}$ and DS$_{RGD}$ on the number of PHEA polymersomes adhered to BMSCs.  The differences of the fluorescence area percentages between any two conditions were statistically significant (p* < 0.05; p** < 0.05).  Each value and error bar in the plot represents the mean and standard deviation from three independent experiments.
### 3.6 Tables

**Table 1.** Molecular characterizations of PHEA-g-C\textsubscript{18} conjugated with PEG

<table>
<thead>
<tr>
<th>DS\textsubscript{PEG} (%)</th>
<th>DS\textsubscript{PEG} (%)\textsuperscript{a}</th>
<th>DS\textsubscript{C18} (mol%)\textsuperscript{b}</th>
<th>CACs (mg/mL)\textsuperscript{c}</th>
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</tr>
</tbody>
</table>

\textsuperscript{a} Determined based on TNBS assay  
\textsuperscript{b} Determined based on \textsuperscript{1}H NMR spectra of the polymer  
\textsuperscript{c} Determined based on fluorescent emission spectra of pyrene.

**Table 2.** SPR analysis of the association rate (k\textsubscript{a}) and the dissociation rate (k\textsubscript{d}) of PHEA-g-C\textsubscript{18} modified with varying DS\textsubscript{PEG} and DS\textsubscript{RGD} over the model cell membrane assembled with lipid molecules and \( \alpha_4\beta_3 \) integrins.

<table>
<thead>
<tr>
<th>DS\textsubscript{PEG} (%)</th>
<th>DS\textsubscript{RGD} (%)</th>
<th>Association rate k\textsubscript{a} (M\textsuperscript{-1}s\textsuperscript{-1})</th>
<th>Dissociation rate k\textsubscript{d} (s\textsuperscript{-1})</th>
<th>Binding RU</th>
</tr>
</thead>
<tbody>
<tr>
<td>0.5</td>
<td>0</td>
<td>446</td>
<td>3.38×10\textsuperscript{-3}</td>
<td>16</td>
</tr>
<tr>
<td></td>
<td>0.5</td>
<td>528</td>
<td>2.87×10\textsuperscript{-3}</td>
<td>58</td>
</tr>
<tr>
<td>1</td>
<td>0</td>
<td>205</td>
<td>5.03×10\textsuperscript{-3}</td>
<td>48</td>
</tr>
<tr>
<td></td>
<td>0.5</td>
<td>810</td>
<td>1.19×10\textsuperscript{-3}</td>
<td>123</td>
</tr>
<tr>
<td></td>
<td>1</td>
<td>751</td>
<td>1.01×10\textsuperscript{-5}</td>
<td>193</td>
</tr>
</tbody>
</table>
Table 3. The association rate ($k_a$) and the dissociation rate ($k_d$) of PHEA-g-C$_{18}$ modified with varying DS$_{\text{PEG}}$ and DS$_{\text{RGD}}$ over the model cell membrane assembled only with lipid molecules.

<table>
<thead>
<tr>
<th>DS$_{\text{PEG}}$ (%)</th>
<th>DS$_{\text{RGD}}$ (%)</th>
<th>Association rate $k_a$ (M$^{-1}$s$^{-1}$)</th>
<th>Dissociation rate $k_d$ (s$^{-1}$)</th>
<th>Binding RU</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>0</td>
<td>396</td>
<td>3.05×10$^{-4}$</td>
<td>45</td>
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<tr>
<td>0.5</td>
<td>0.5</td>
<td>226</td>
<td>5.42×10$^{-3}$</td>
<td>22</td>
</tr>
<tr>
<td>1</td>
<td>0.5</td>
<td>171</td>
<td>3.09×10$^{-3}$</td>
<td>73</td>
</tr>
<tr>
<td>1</td>
<td>1</td>
<td>257</td>
<td>2.40×10$^{-3}$</td>
<td>134</td>
</tr>
</tbody>
</table>
CHAPTER 4: CONCLUSIONS AND FUTURE WORK

This study demonstrates a new method to fabricate ellipsoidal polymersomes and subsequently enhance the targeting capability of surface-functionalized polymersomes. Chemically conjugating hydrophilic PEG chains to PHEA polymersomes can drive the sphere-to-ellipsoid transition of the polymersomes, likely due to increases in the bending modulus and spontaneous curvature of the polymeric bilayer. Furthermore, compared to spherical polymersomes, ellipsoidal polymersomes functionalized with RGD peptides demonstrated enhanced adhesion to a model target tissue in a circulation-mimicking flow. This enhanced targeting capability is attributed to the larger association rate with a target tissue, according to analysis conducted with SPR. We propose that the resulting ellipsoidal cell-adherent polymersomes will be broadly useful in improving the efficiency of targeted delivery of a wide variety of imaging contrast agents and therapeutic molecules, and ultimately in the quality of clinical diagnoses and treatments. In addition, we suggest that this strategy to synthesize ellipsoidal PHEA polymersomes can be readily used to control the morphologies of various nanoparticles formed from self-assembly between lipids, block copolymers, and graft polymers.[23]

Further studies on the sphere-ellipse morphological transition of the polymersomes driven by PEG chains on the PHEA backbone would be necessary in the future work. Earlier studies have reported that hydrophilic polymer tails linked with lipid molecules increase the bending modulus of the micro-sized liposome which subsequently shifts the bilayer curvature of the liposome into an ellipsoidal shape.[20, 23] Therefore, the PHEA morphological transition is attributed to changes in the bending modulus and spontaneous curvature of the polymeric bilayer. The increased bending modulus has an even greater effect when the number of hydrophilic
chains exceeds the critical value at which the hydrophilic tails contact each other. We therefore suggest that PEG chains linked to PHEA-g-C\textsubscript{18} contact each other at a DS\textsubscript{PEG} between 0.5 and 1.0 mol\% and drive the PHEA-g-C\textsubscript{18} to self-assemble into an ellipsoidal polymersome, an effect similar to that of PEG chains on the liposome. We also propose that the critical concentration range of DS\textsubscript{PEG} for the sphere-to-ellipsoid transition will decrease with increasing molecular weights of PEG tails, which needs further investigation in future studies.
REFERENCES