



HATHITRUST

A Shared Digital Repository

How Can Digital Collections Support Shared Print Initiatives?

The HathiTrust Print Monograph Archive
Planning Task Force

Collaborating to Preserve Our Print Collections

June 27, 2014

Thomas H. Teper

AUL for Collections & Technical Services

University of Illinois at Urbana-Champaign

Partnership

Allegheny College
Arizona State University
Baylor University
Boston College
Boston University
Brandeis University
Brown University
California Digital Library
Carnegie Mellon University
Colby College
Columbia University
Cornell University
Dartmouth College
Duke University
Emory University
Florida State University
Getty Research Institute
Harvard University Library
Indiana University
Iowa State University
Johns Hopkins University
Kansas State University
Lafayette College
Library of Congress
Massachusetts Institute of Technology
McGill University
Michigan State University
Montana State University
Mount Holyoke College
New York Public Library
New York University
North Carolina Central University
North Carolina State University

Northwestern University
The Ohio State University
The Pennsylvania State University
Princeton University
Purdue University
Rutgers University
Stanford University
Syracuse University
Temple University
Texas A&M University
Tufts University
Universidad Complutense de Madrid
University of Alabama
University of Alberta
University of Arizona
University of British Columbia
University of Calgary
University of California Berkeley
Davis
Irvine
Los Angeles
Merced
Riverside
San Diego
San Francisco
Santa Barbara
Santa Cruz
The University of Chicago
University of Connecticut
University of Delaware
University of Florida
University of Houston

University of Illinois
University of Illinois at Chicago
The University of Iowa
University of Kansas
University of Maine
University of Maryland
University of Massachusetts, Amherst
University of Miami
University of Michigan
University of Minnesota
University of Missouri
University of Nebraska-Lincoln
The University of North Carolina at Chapel Hill
University of Notre Dame
University of Oklahoma
University of Pennsylvania
University of Pittsburgh
University of Queensland
University of Tennessee, Knoxville
University of Texas
University of Utah
University of Vermont
University of Virginia
University of Washington
University of Wisconsin-Madison
Utah State University
Vanderbilt University
Virginia Tech
Wake Forest University
Washington University
Yale University Library



Mission

- To contribute to the common good by collecting, organizing, preserving, communicating, and sharing the record of human knowledge

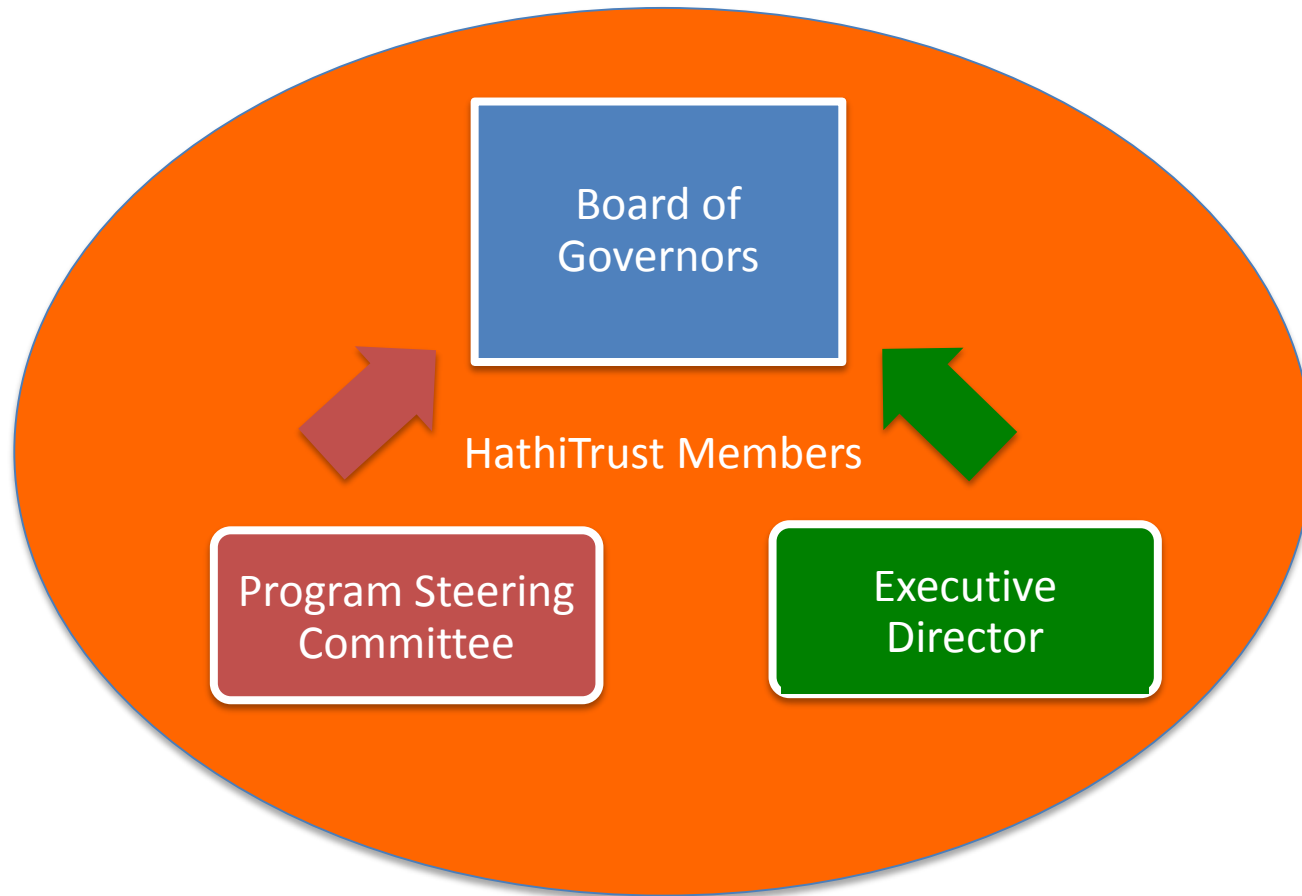


The Goals

- To build a reliable and increasingly comprehensive digital archive of library materials converted from print that is co-owned and managed by a number of academic institutions.
- To dramatically improve access to these materials in ways that, first and foremost, meet the needs of the co-owning institutions.
- To help preserve these important human records by creating reliable and accessible electronic representations.
- To enable the digital archive to be accessible to persons who have print disabilities.
- To stimulate redoubled efforts to coordinate shared storage strategies among libraries, thus reducing long-term capital and operating costs of libraries associated with the storage and care of print collections.
- To create and sustain this “public good” in a way that mitigates the problem of free-riders.
- To create a technical framework that is simultaneously responsive to members through the centralized creation of functionality and sufficiently open to the creation of tools and services not created by the central organization.



Governance



How does work get done?

- Collective work
 - e.g., working groups
 - Perform the work of the partnership
 - Now 40+ people across partner institutions
- Distributed work
 - Driven by needs of institutions – able to leverage across the partnership
 - Projects, e.g. grant work, ingest specifications, page-turner, bibliographic data management
- Leverage expertise across institutions



The HathiTrust Print Monographs Archive Task Force

- Ballot Initiative passed at the 2011 HT Constitutional Convention (Con-Con)
 - “To develop a print monographs archive corresponding to volumes represented within the HathiTrust”
- HathiTrust Board of Governors recently approved appointment of a PSC-designed task force to begin process



Ballot Initiative Called For....

- A print archive founded on formal agreements with print repositories of member institutions or their affiliated agents
- Agreements would establish retention commitments to ensure continuing availability of the archived holdings to the HT members
- Provide financial support to the designated repositories sufficient to secure and maintain these agreements
- Initiate and carry out a formal planning process by which necessary policies, operational plans, and business models required would be established to sustain a distributed archive



Task Force Charge

- Reporting to the HathiTrust Program Steering Committee, the Print Monographs Archive Planning Task Force is charged to develop plans for a distributed Print Monographs Archive on behalf of HathiTrust, including the requisite policies, operational plans, and business model.



Issues to examine...

- Exploration of the model needed to identify and preserve print resources
- Qualifications of participating repositories
- Analysis and identification of appropriate content for inclusion in the archive
- Additional criteria for participation, such as geography, repository type, breadth of contribution, institutional commitment...
- Retention periods
- Discovery, access policies, and service models
- Business and financial models
- Roles and relationships among HT and other libraries and organizations engaged in collaborative management of print collections.

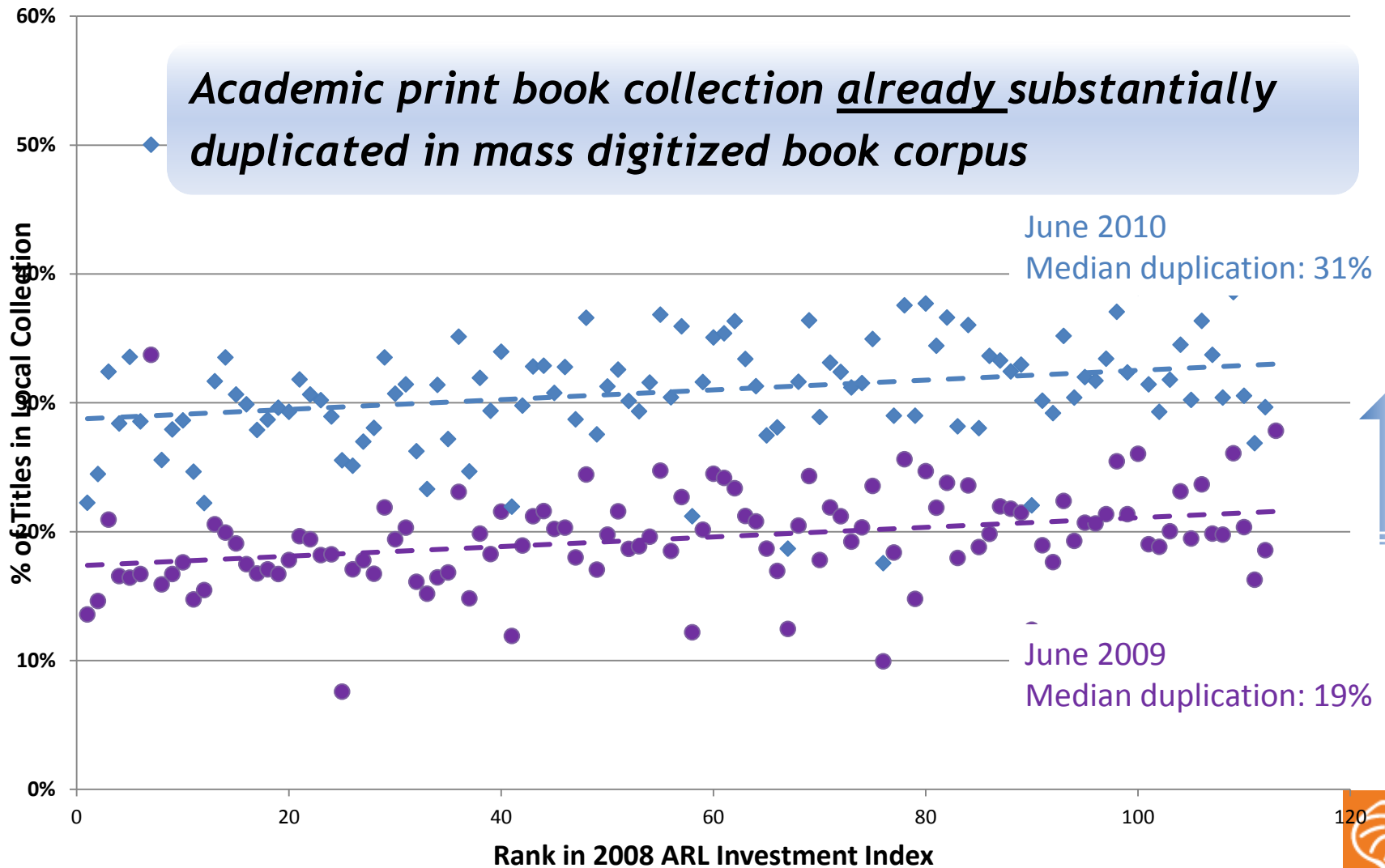


The Collective Collection

- Represents a shift from what a library has to what a library can provide access to....
- Leading to changes in how we perceive of managing collections....
- Cloud Libraries and Mega-Regions....
 - Do not – at present – replace local decisions.
 - Do confirm what we all knew – libraries don't need to duplicate everything and can share items, bringing greater benefit to the whole.



A global change in the library environment



Continuing growth of overlap ...

- ARL overlap
 - 31% in June 2010
 - 33% in Dec (adjustment: adding little-held works)
 - ~ 1% per 225,000 vols
 - 45% by December, 2011
- Oberlin Group overlap
 - Close to 9% points higher
 - 41% in December, 2010
 - Close to 50% in May, 2011
 - Higher rate of overlap per added volume?



Overlap in 2014....

- More than 50% median overlap with ARL institutions; higher for small liberal arts colleges



How Can Digital Collections Support Shared Print Initiatives?

- Provide a framework to better understand “scarcity” among the collective membership
- Provide an additional data points for individual institutions/cooperatives to make local decisions related to collection management
- Provide participants with assurance of continued access via the cooperative
- Provide a mechanism for establishing a Print Monograph Archive



What will a Print Monograph Archive Look Like?

- To be determined, but there are questions that I would like to ask before going further:
 - Is a Print Monograph Archive the same as a Shared Print Initiative?
 - Are the principles and goals of a Print Monograph Archive the same as a broader Shared Print Initiative?



HT Print Monograph Archive Should...

- Be a loose-tight organization with an underlying audit process;
- Be a building block upon which others can develop local plans, make local decisions, and implement local initiatives;
- Distinguish itself from others initiatives through unique elements:
 - A focus on monographs
 - A digital corpus
 - A print commitment
 - A set of tools that will support local work



Thank You

