



Unitary group approach for effective potentials in 2D systems: application to carbon suboxide C₃O₂

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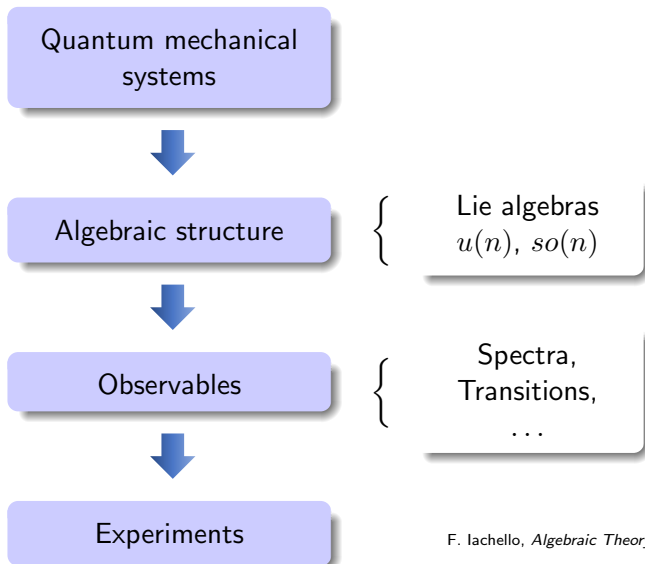
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Content

- 1 Algebraic methods
- 2 Connection between algebraic and configuration space
 - The $U(3)$ unitary group approach
 - Energy, coordinate and momentum representation
- 3 Algebraic representation of 2D Hamiltonian
 - Quartic potential
 - Energy spectra and wave functions
- 4 Application to carbon suboxide (C_3O_2)

Algebraic methods



F. Iachello, *Algebraic Theory*, **160**, 151 (1994).

Connection between the algebraic and configuration space

What is the translation of the coordinate q and momentum p from configuration into the algebraic space?

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$$\hat{H}_{cs}(\mathbf{q}, \mathbf{p})\psi_{\mathbf{n}}(\mathbf{q}) = E_{\mathbf{n}}\psi_{\mathbf{n}}(\mathbf{q})$$

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Fock space

$$\hat{H}_{Fock}|\mathbf{n}\rangle = E_{\mathbf{n}}|\mathbf{n}\rangle$$

second quantization

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Algebraic space

$$\hat{H}_{alg}^{U(\nu+1)}|[N]\mathbf{n}\rangle = E_{\mathbf{n}}|[N]\mathbf{n}\rangle$$

?

Example. 1D harmonic oscillator

Configuration space

$$\hat{H}_{cs} = \frac{1}{2\mu} \hat{p}^2 + \frac{\omega^2 \mu}{2} \hat{q}^2,$$

$$\psi_n(q) = N_n e^{(-q^2/2\lambda_0^2)} H_n(q/\lambda_0)$$

$$N_n = (n! 2^n \lambda_0 \sqrt{\pi})^{-1/2}$$

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Fock space

$$\hat{H}_{Fock}^{o.a} = \hbar\omega(\hat{n} + 1/2),$$

$$\hat{n} = \hat{a}^\dagger \hat{a}$$

$$|n\rangle = \frac{1}{\sqrt{n!}}(\hat{a}^\dagger)^n|0\rangle.$$

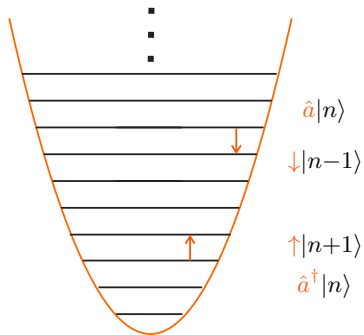
$$\hat{a}^\dagger = \frac{1}{\sqrt{2}} \left(\sqrt{\frac{\mu\omega}{\hbar}} \hat{q} - i \frac{\hat{p}}{\sqrt{\hbar\omega\mu}} \right),$$

$$\hat{a} = \frac{1}{\sqrt{2}} \left(\sqrt{\frac{\mu\omega}{\hbar}} \hat{q} + i \frac{\hat{p}}{\sqrt{\hbar\omega\mu}} \right).$$

Fock space

1D harmonic oscillator

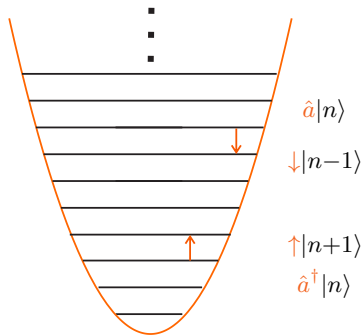
$$\hat{n} = \hat{a}^\dagger \hat{a}$$



$$\sum_n^{\infty} |n\rangle \langle n| = 1$$

Fock space 1D harmonic oscillator

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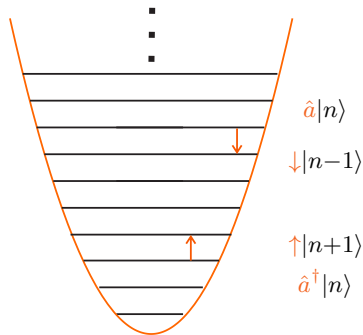
In practice, when the basis is cut off:

~~$$\sum_n^\infty |n\rangle \langle n| = 1$$~~

It is possible to use a harmonic oscillator basis and recover the closure condition?

Fock space 1D harmonic oscillator

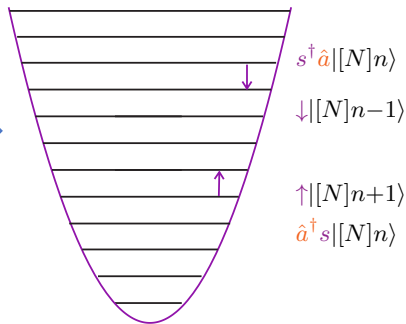
$$\hat{n} = \hat{a}^\dagger \hat{a}$$



$$\sum_n^\infty |n\rangle\langle n| = 1$$

Algebraic space Adding a scalar boson $s^\dagger(s)$

$$\hat{N} = \hat{a}^\dagger(\hat{a}) + s^\dagger(s)$$



$$\sum_n^N |[N]n\rangle\langle[N]n| = 1$$

1D Algebraic realization

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$$G_{U(2)} = \{\hat{N}, \hat{n}, \hat{a}^\dagger s, s^\dagger \hat{a}\},$$

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Coordinates and momenta take the form [5, 6]:

$$\hat{Q} = \sqrt{\frac{\hbar}{2\mu\omega}} \frac{1}{\sqrt{N}} \left[\hat{a}^\dagger s + s^\dagger \hat{a} \right],$$

$$\hat{P} = \frac{i}{\sqrt{2}} \sqrt{\hbar\mu\omega} \frac{1}{\sqrt{N}} \left[\hat{a}^\dagger s - s^\dagger \hat{a} \right].$$

[5] R. Lemus, *Mol. Phys.*, (2018).

[6] R.D. Santiago, *et al. Mol. Phys.*, **24**, 206 (2017).

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$$\hat{q} \mid_{\hat{a}^\dagger(\hat{a}) \rightarrow \hat{b}^\dagger(\hat{b})} \rightarrow \hat{Q}; \quad \hat{p} \mid_{\hat{a}^\dagger(\hat{a}) \rightarrow \hat{b}^\dagger(\hat{b})} \rightarrow \hat{P}.$$

$$\hat{b}^\dagger \equiv \frac{\hat{a}^\dagger s}{\sqrt{N}}; \quad \hat{b} \equiv \frac{s^\dagger \hat{a}}{\sqrt{N}}$$

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2D Systems. The $U(3)$ unitary group approach

Harmonic oscillator in 2D:

$${}_{\text{O.A.}}\hat{H}_{Fock} = \frac{\hbar\omega}{2} \sum_{\sigma} (\hat{\tau}_{\sigma}^{\dagger} \hat{\tau}_{\sigma} + \hat{\tau}_{\sigma} \hat{\tau}_{\sigma}^{\dagger}), \quad \sigma = \pm$$

$$\hat{\tau}_{\pm}^{\dagger} = \frac{1}{\sqrt{2}} \left[\sqrt{\frac{\omega\mu}{\hbar}} \hat{Q}_{\pm} + i \frac{\hat{P}_{\mp}}{\sqrt{\hbar\omega\mu}} \right],$$

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The $U(3)$ space is generated by adding a scalar boson $\sigma^{\dagger}(\sigma)$ to the 2D oscillator space:

$$\begin{aligned} \hat{D}_{\pm} &= \sqrt{2}(\pm\tau_{\pm}^{\dagger}\sigma \mp \sigma^{\dagger}\tau_{\mp}), & \hat{R}_{\pm} &= \sqrt{2}(\tau_{\pm}^{\dagger}\sigma + \sigma^{\dagger}\tau_{\mp}), \\ \hat{Q}_{\pm} &= \sqrt{2}\tau_{\pm}^{\dagger}\tau_{\mp}, & \hat{n}_{\sigma} &= \sigma^{\dagger}\sigma, \\ \hat{n} &= \tau_{+}^{\dagger}\tau_{+} + \tau_{-}^{\dagger}\tau_{-}, & \hat{l} &= \tau_{+}^{\dagger}\tau_{+} - \tau_{-}^{\dagger}\tau_{-}. \end{aligned}$$

Subalgebra chains of algebra $U(3)$

The algebra $U(3)$ provides the following three chains:

Chain

$$U(3) \supset U(2) \supset SO(2)$$

$$U(3) \supset SO(3) \supset SO(2)$$

$$U(3) \supset S\bar{O}(3) \supset SO(2)$$

Casimir operator

$$\hat{C}_{U(2)} = \hat{n}$$

$$\hat{C}_{SO(3)} = \hat{W}^2 = D^2 + l^2$$

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The bases associated with the Casimir Operators:

$$\hat{n} |[N]; nl\rangle = n |[N]; nl\rangle,$$

$$\hat{W}^2 |[N]; \zeta l\rangle = \zeta(\zeta + 1) |[N]; \zeta l\rangle,$$

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the bases satisfy:

$$\sum_{n,l}^N |[N]nl\rangle \langle [N]nl| = \sum_{\zeta,l}^N |[N]\zeta l\rangle \langle [N]\zeta l| = \sum_{\bar{\zeta},l}^N |[N]\bar{\zeta} l\rangle \langle [N]\bar{\zeta} l| = 1.$$

Algebraic representation of the coordinate and momentum

Using a mapping to the 2D h. o. basis, the coordinates and momenta take the form [7]:

$$\hat{Q}_{\pm} \rightarrow \hat{\mathcal{Q}}_{\pm} \quad \hat{P}_{\pm} \rightarrow \hat{\mathcal{P}}_{\pm}$$

$$\hat{\mathcal{Q}}_{\pm} = \pm \frac{1}{2} \sqrt{\frac{\hbar}{\mu\omega}} \frac{1}{\sqrt{N}} \hat{D}_{\pm}, \quad \hat{\mathcal{P}}_{\pm} = -\frac{i}{2} \sqrt{\hbar\mu\omega} \frac{1}{\sqrt{N}} \hat{R}_{\mp}.$$

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The operators \hat{W}^2 and $\hat{\bar{W}}^2$ associated with the $SO(3)$ and $S\bar{O}(3)$ subalgebras:

$$\hat{W}^2 = D^2 + l^2 \quad \hat{\bar{W}}^2 = R^2 + l^2.$$

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$$\hat{W}^2 = N \frac{2\mu\omega}{\hbar} \hat{\mathcal{Q}}^2 + \hat{l}^2, \quad \hat{\bar{W}}^2 = N \frac{2}{\hbar\mu\omega} \hat{\mathcal{P}}^2 + \hat{l}^2.$$

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This result leads to the identification:

$$U(3) \supset SO(3) \supset SO(2) \rightarrow \text{coordinate}$$

$$U(3) \supset S\bar{O}(3) \supset SO(2) \rightarrow \text{momentum}$$

[7] M. M. Estévez-Fregoso and R. Lemus, *Mol. Phys.*, (2018).

Algebraic representation of energy

The harmonic oscillator hamiltonian can be written in the algebraic space:

$${}_{\text{o.A}}\hat{H}_{alg}^{U(3)} = -\frac{1}{2}g_{+-} (\hat{\mathcal{P}}_+ \hat{\mathcal{P}}_- + \hat{\mathcal{P}}_- \hat{\mathcal{P}}_+) - \frac{1}{2}f_{+-} (\hat{\mathcal{Q}}_+ \hat{\mathcal{Q}}_- + \hat{\mathcal{Q}}_- \hat{\mathcal{Q}}_+),$$

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and in terms of the $\hat{C}_{U(2)} = \hat{n}$ operator:

$${}_{\text{O.A}}\hat{H}_{alg}^{U(3)} = \hbar\omega \left\{ \left(1 - \frac{1}{2N} \right) \hat{n} + 1 - \frac{\hat{n}^2}{N} \right\}.$$

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We can thus identify the chain as the energy representation:

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The results are summarized in:

Chain	Basis	Representation
$U(3) \supset U(2) \supset SO(2)$	$ [N]; nl\rangle$	Energy
$U(3) \supset SO(3) \supset SO(2)$	$ [N]; \zeta l\rangle$	Coordinate
$U(3) \supset SO\bar{3} \supset SO(2)$	$ [N]; \bar{\zeta} l\rangle$	Momentum

A hamiltonian in the algebraic space :

$$\hat{H}_{cs} = \frac{\mathbf{P}^2}{2\mu} + V(\sqrt{\mathbf{Q}^2}) \rightarrow \hat{H}_{alg}^{U(3)} = \frac{\hat{\mathcal{P}}^2}{2\mu} + V(\sqrt{\hat{\mathcal{Q}}^2}).$$

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Adding and subtracting the term $-\frac{\mu\omega}{2}\hat{\mathcal{Q}}^2$:

$$\hat{H}_{alg}^{U(3)} = \hbar\omega \left[\left(1 - \frac{1}{2N}\right)\hat{n} + 1 - \frac{\hat{n}^2}{N} \right] + \kappa V'(\sqrt{\hat{\mathcal{Q}}^2}),$$

where:

$$V'(\hat{\mathcal{Q}}^2) = -\frac{m\omega^2}{2}\hat{\mathcal{Q}}^2 + V(\sqrt{\hat{\mathcal{Q}}^2}), \quad \kappa \in [0, 1].$$

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$$V'(\hat{\mathcal{Q}}^2) = -\frac{m\omega^2}{2}\hat{\mathcal{Q}}^2 + V(\sqrt{\hat{\mathcal{Q}}^2}), \quad \kappa \in [0, 1].$$

We have the following matrix elements in the coordinate representation:

$$\langle [N]\zeta'l|\hat{\mathcal{Q}}^2|[N]\zeta l\rangle = \frac{d^2}{2} \frac{[\zeta(\zeta+1) - l^2]}{N} \delta_{\zeta',\zeta}, \quad d = \sqrt{\hbar/\mu\omega}$$

Algebraic representation of 2D Hamiltonian

Hence the matrix elements of the Hamiltonian (for a given l) in the energy representation take the general form:

$$\mathbf{H}^{(E)} = \mathbf{\Lambda}^{(E)} + \kappa \mathbf{T}^\dagger \mathbf{\Lambda}^{(Q)} \mathbf{T},$$

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$$\|\mathbf{\Lambda}^{(Q)}\| = \hbar\omega \left[-\frac{1}{2} \frac{\xi(\zeta, l)^2}{2N} + \frac{1}{\hbar\omega} V \left(d \frac{\xi(\zeta, l)}{\sqrt{2N}} \right) \right] \delta_{\zeta, \zeta'},$$

with $\xi(\zeta, l) = \sqrt{\zeta(\zeta + 1) - l^2}$ and $\kappa \in [0, 1]$.

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with $\xi(\zeta, l) = \sqrt{\zeta(\zeta+1) - l^2}$ and $\kappa \in [0, 1]$.

\mathbf{T} stands for the transformation brackets:

$$\mathbf{T} = \|\langle [N] \zeta l | [N] n l \rangle\|,$$

which connect energy and coordinate representations.

Quartic potential in algebraic space

The Hamiltonian to be considered is given by:

$$\hat{H} = \frac{\mathbf{P}^2}{2\mu} - \alpha \mathbf{Q}^2 + \beta \mathbf{Q}^4$$

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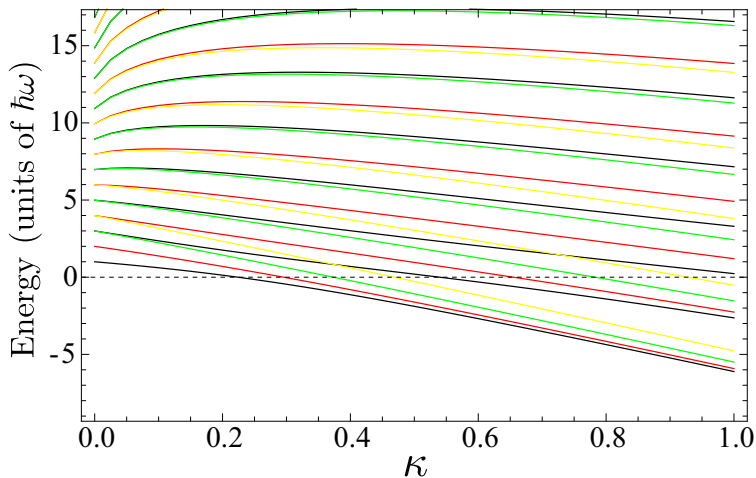
can be expressed in matrix form:

$$\mathbf{H}^{(E)} = \mathbf{\Lambda}^{(E)} + \kappa \mathbf{T}^\dagger \mathbf{\Lambda}^{(Q)} \mathbf{T},$$

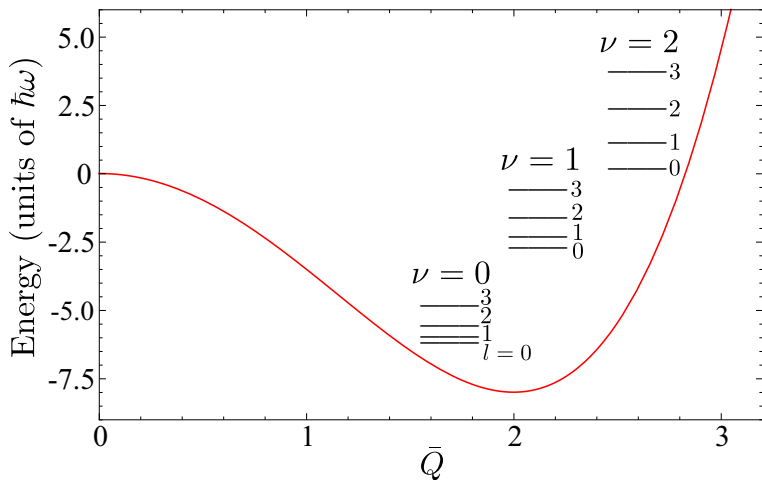
where:

$$\|\mathbf{\Lambda}^{(Q)}\| = \hbar\omega \left[- \left(\frac{1}{2} + \bar{\alpha} \right) \frac{\xi(\zeta, l)^2}{2N} + \bar{\beta} \left(\frac{\xi(\zeta, l)^2}{\sqrt{2N}} \right) \right] \delta_{\zeta, \zeta'},$$

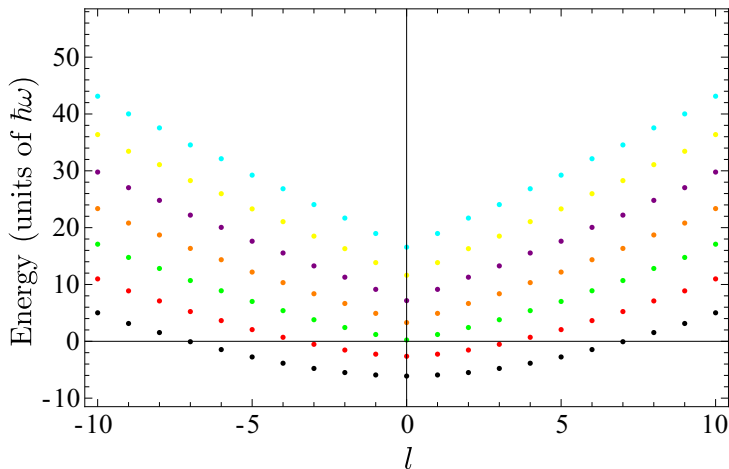
$$\bar{\alpha} = \frac{\alpha}{\mu\omega^2}; \quad \bar{\beta} = \frac{\beta\hbar}{\mu^2\omega^3}.$$



Correlation diagram from harmonic oscillator ($\kappa = 0$) to quartic potential ($\kappa = 1$). The parameters used $N=1500$, $\bar{\alpha}=4.0$, $\bar{\beta}=0.5$. The levels with angular momentum $l=0,1,2,3$. The lowest level in black of each group corresponds to $l=0$. The correlation between the linear and the displaced oscillator provides the labeling ν for the vibrational states for the bending modes through $\nu = (n - |l|)/2$.



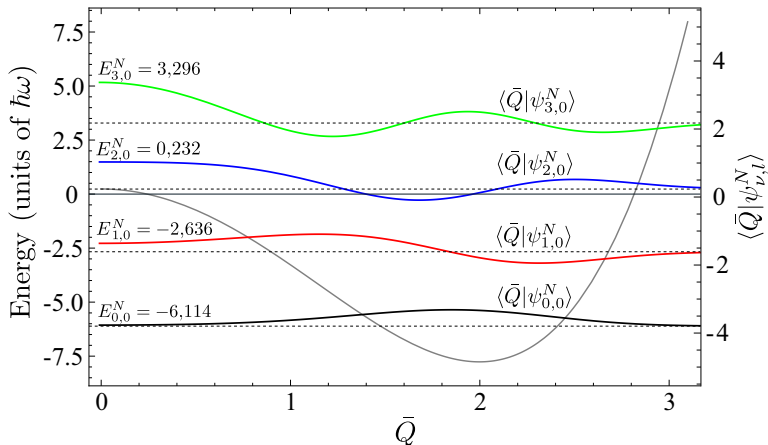
Quartic potential with parameters $N=1500$, $\bar{\alpha}=4.0$, $\bar{\beta}=0.5$, choosing $\bar{Q}_0=2$. The energy levels for $\nu=0, 1, 2$ and $l=0, 1, 2, 3$ are displayed.



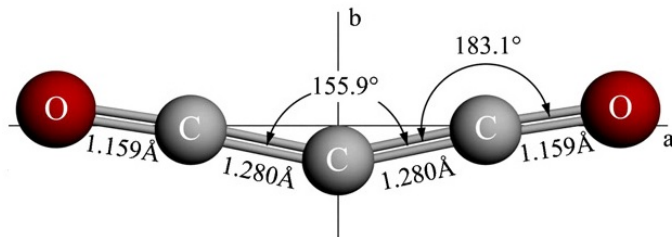
Energy levels as a function of angular momentum (l) with the parameters $N=1500$, $\bar{\alpha}=4.0$, $\bar{\beta}=0.5$ and $\kappa=1.0$.

The eigenstates are given as an expansion in terms of the eigenkets $|[N]nl\rangle$ in the following form

$$|\psi_{\nu,l}^N\rangle = \sum_{n=0}^N \langle [N]nl | \psi_{\nu,l}^N \rangle |[N]nl\rangle$$



Energy levels and calculated radial wave functions with angular momentum $l=0$ and $\nu=0, 1, 2, 3$ with the parameters $N=1500$, $\bar{\alpha}=4.0$, $\bar{\beta}=0.5$ and $\kappa=1.0$.

Application to carbon suboxide C_3O_2 

The structure of C_3O_2 with *ab initio* parameters in the principal axis system [8].

[8] M. Winnewisser *et al.*, *J. Mol. Struct.* **798**, 1 (2006).

The Hamiltonian in algebraic space used for describe the CCC bending modes is given by:

$$\hat{H} = \hbar\omega \left\{ \left(1 - \frac{1}{2N}\right) \hat{n} + 1 - \frac{\hat{n}^2}{N} \right\} - \left(\frac{\mu\omega^2}{2} + \alpha \right) Q^2 + \beta Q^4$$

The best spectroscopic description was obtained with the parameters:

$$\omega = 5,09607 \times 10^{12} \text{ Hz}, \quad \alpha = 27,25889 \text{ cm}^{-1}/\text{\AA}^2, \quad \beta = 17,72693 \text{ cm}^{-1}/\text{\AA}^4.$$

Bending mode	Observed [9]	Residual	Residual Ref. [10]
0 ⁰	0.00	0.00	0.0
1 ¹	18.33	-3.55	-2.37
2 ²	46.26	-4.26	-3.74
2 ⁰	60.70	-0.29	-0.7
3 ³	80.85	-2.74	-4.85
3 ¹	97.30	-1.03	-2.70
4 ⁴	120.68	-1.14	-5.82
4 ²	137.41	-2.01	-4.49
4 ⁰	144.30	-1.44	-3.3
5 ⁵	164.88	3.51	-6.82
5 ³	181.25	0.23	-6.15
5 ¹	191.14	-0.29	-4.96
6 ⁶	212.87	6.34	-7.73
6 ⁴	228.55	0.67	-7.75
6 ²	239.70	-1.70	-6.9
6 ⁰	244.70	-1.36	-5.5
values in cm ⁻¹	rms =	2.56	5.14

[9] L. Fusina, I.M. Mills, *J. Mol. Spectrosc.*, **79** (1980) 123.

[10] J. Koput, *Chem. Phys. Lett.*, **320** (2000) 237.

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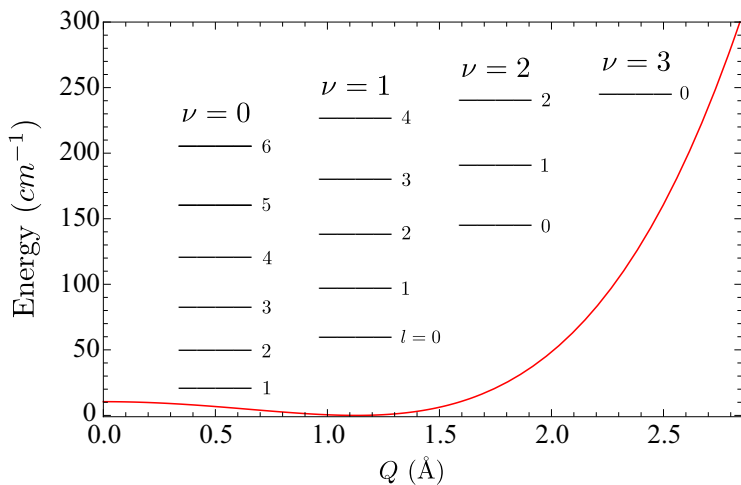
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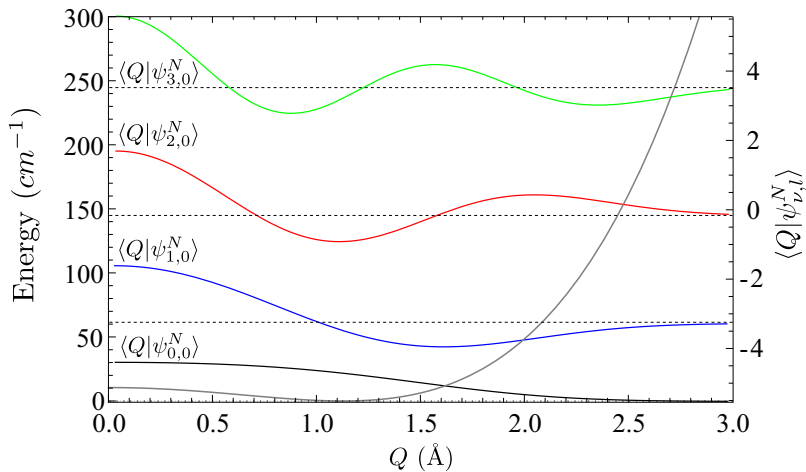
[9] L. Fusina, I.M. Mills, *J. Mol. Spectrosc.*, **79** (1980) 123.

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M. Rodríguez-Arcos and R. Lemus,
Chem. Phys. Lett., **713** (2018) 266.



Energy levels of the molecule C_3O_2 calculated with the quartic potential taking the parameters $N = 1500$, $\alpha = 27.26 \pm 0.45 \text{ cm}^{-1}/\text{\AA}^2$ and $\beta = 17.73 \pm 0.74 \text{ cm}^{-1}/\text{\AA}^4$, with minimum located in $Q_0 = 1.127 \text{ \AA}$.



Calculated radial wave functions with angular momentum $l=0$ and $\nu=0, 1, 2, 3$. The parameters used for the quartic potential was $N=1500$, $\alpha = 27.26 \pm 0.45 \text{ cm}^{-1}/\text{\AA}^2$ and $\beta = 17.73 \pm 0.74 \text{ cm}^{-1}/\text{\AA}^4$.

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- This feature provides the tools to obtain the algebraic representation of a 2D Hamiltonian in terms of similitude transformation of a diagonal matrix.
- The $U(3)$ algebraic approach may be applied to: linear-bend transitions of non rigid molecules, for example C_3O_2 .

Thank you very much for your attention!