

The Influence of Multiple Slip Systems on the Brittle-Ductile Transition in Silicon

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Abstract

The brittle–ductile transition results from the competition between cleavage fracture and dislocation activities at or near the crack tip. In the present paper, a 2D dynamic dislocation simulation is performed. The material properties used in the simulation are those of single crystalline silicon. Dislocations are assumed to emit from an atomically sharp cleavage crack along different, symmetrically placed slip systems. Individual dislocations move away from the crack tip following an Arrhenius law driven by the net resolved shear stress. The dislocations shield the crack tip from the increasing far field stress intensity. Brittle fracture occurs when the crack tip stress intensity reaches the intrinsic fracture toughness. Ductile failure is assumed to occur if the far field stress intensity reaches a critical value significantly higher than the intrinsic toughness. The study focuses on the influence of interaction forces between dislocations in different slip systems. With all interactions fully accounted for, the simulations show that the activation of multiple slip systems results in a sharp transition whereas the activation of a single symmetric pair results in a gradual transition.

Keywords: Brittle-ductile transition; Fracture; Dislocation dynamics; Numerical simulation; Silicon

1. Introduction

The brittle-ductile transition (BDT) during fracture of engineering materials has been a subject of extensive research because of its practical significance. Transition from ductile fracture under ambient conditions to brittle fracture at low temperatures or high strain rates is a limiting constraint in the use of many structural metals. With the recently renewed interest in the *Titanic*, the subject appears even in publications for the layman [1]. It is now understood that the mechanism controlling the BDT during fracture in crystalline materials is the competition between two crack tip processes: cleavage crack propagation and dislocation activities at the crack tip. Although the cleavage fracture process is relatively well understood, the dislocation generation process is still actively studied by many researchers.

Of all engineering materials, steels are perhaps the most important ones exhibiting the BDT behavior. However, in order to understand the fundamental mechanisms of the brittle-ductile transition, single crystalline silicon has been extensively studied as a model material both experimentally [2-4] and numerically [5-12]. The dislocation generation process in silicon consists of dislocation nucleation at or near the crack tip (intrinsic silicon crystals are nearly dislocation-free) and dislocation motion away from the crack tip. Dislocation emission from a crack was first modeled by Rice and Thomson [13]. However, their calculations showed that the activation energy for dislocation emission for brittle materials as well as for transition metals was much too high to allow the BDT even when the temperature approaches the melting temperature. More recently, Rice and co-workers [14, 15] developed a model based on analysis of nonlinear atomic lattice distortion and identified an unstable stacking energy as the critical parameter for dislocation emission from a perfect crack tip. Their model still predicted a rather high barrier for dislocation emission. Recently, Xu *et al.* [16] studied dislocation nucleation from crack front defects theoretically and showed that the preferred dislocation nucleation sites are atomic ledges along the crack front. On the other hand, Kantha *et al.* [17] proposed a homogeneous dislocation loop formation mechanism due to thermal fluctuation. There is experimental evidence that dislocation nucleation in silicon can occur at temperatures significantly below the BDT temperature [3, 18, 19]. Therefore, the BDT in silicon is controlled by dislocation mobility rather than by dislocation nucleation.

Experiments also showed that the BDT in silicon is extremely sharp. Slightly below the transition temperature, the measured fracture toughness is nearly the intrinsic toughness of the material. At this temperature there is a tremendous increase in the measured fracture toughness accompanied by an increase in dislocation activity. Above this temperature, the material undergoes large scale yielding. For silicon with no built-in dislocations, the transition often occurs within as little as 5°C. This phenomenon of a very sharp transition seems to be at odds with the dislocation mobility controlled transition mechanism since dislocation mobility increases gradually with temperature. The purpose of the present paper is to identify a possible mechanism that may give rise to a relatively sharp transition during mobility controlled BDT.

It has often been argued that, in order to have a sharp transition, a large number of dislocations must be generated abruptly at the transition temperature [17]. There are, however, other possible mechanisms responsible for a sharp transition. Recently, Michot [20] showed that cross slip of dislocations near the crack tip is a plausible mechanism for

dislocation multiplication. Activation of multiple slip systems is another mechanism that has not been thoroughly studied.

Many numerical models have been developed to determine and understand the mechanisms causing the BDT behavior in silicon. The more successful ones among these were developed by the Oxford group [5-8]. Based on the argument that dislocation nucleation from the crack tip is inhomogeneous (a fact observed in many experiments [18, 21-23]), they introduced in their model the spacing between dislocation sources along the crack front. Their numerical simulations using this model result in a rather sharp transition and agree with experimental measurements. However, the value of the spacing between dislocation sources used in their simulation seems to be rather large compared with experimental results [18, 21]. Furthermore, many experiments show that multiple slip systems are activated at the crack tip during the BDT. The effect of multiple slip systems was not considered in their models.

Li and coworkers [24-27] studied the equilibrium arrangements of dislocations on single and multiple planes around the crack tip in order to understand the plastic zone and dislocation-free zone. These static models are not useful for studying the BDT since equilibrium is reached on a time scale much greater than that of a fracture test [6]. The resulting differences will be explained later in the discussion section. Of all dislocation dynamics simulations, only Brede [9] and Xin and Hsia [10] considered the influence of multiple slip systems. Brede [9] obtained a sharp transition by forcing simultaneous emission of dislocations on slip planes at 67.8° and -112.2° from the crack plane. He noted that including more slip systems might be necessary for modeling a sharp transition. Xin and Hsia [10] modeled dislocation emission in a pair of slip systems normal to the crack plane. The interactions between dislocations in different planes were not taken into account. They approximated the effect of multiple slip systems by multiplying the shielding term for a single slip system by a constant coefficient. This approximation showed the qualitative trend that an increase in the number of activated slip systems sharpens the transition behavior. The present study extends their simulation by accounting for the interactions between different slip systems. In particular, the effect of the number of active slip systems will be studied.

2. Simulation algorithm

Two-dimensional numerical simulations similar to those by Xin and Hsia [10] are performed. We consider $\{110\}$ crack surfaces with a $\langle 110 \rangle$ crack growth direction. Silicon has a diamond-cubic structure with $\{111\}$ slip planes and $\langle 110 \rangle$ slip directions. An analysis of the resolved shear stress for various slip systems shows that slip occurs initially in only four of the twelve available slip systems [10]. Such slip will not generate a perfectly two-dimensional deformation around the crack tip. Furthermore, once dislocations are nucleated from the crack front, the crack will no longer be perfectly planar. The crack front will contain many atomic steps at the locations where dislocation lines intercept the crack. However, for the problem to be tractable, we consider an atomically sharp crack from which straight edge dislocations are nucleated parallel to the crack front. Based upon X-ray topographs for this orientation by George and Michot [21], it was assumed that slip would occur symmetrically about the crack plane.

This model with a single pair of slip systems at a general angle α is illustrated in Fig. 1. Since the shear stresses are symmetric, the nucleation and subsequent motion of

dislocations in symmetric slip systems are also symmetric. When multiple slip systems are included in the model the different pairs of slip systems act independently. At each time step nucleation was tested on every pair of slip systems and occurred only in the pair, if any, which satisfied the stress condition explained later. The external load and slip system symmetry assure a pure mode I loading of the crack.

The total shear stress acting upon a given dislocation can be decomposed into three components. These may be expressed for the i th dislocation as [28]

$$\tau_i = \tau_{kd} + \tau_{d^2} + \sum_j \tau_{dd_j} \quad (1)$$

where τ_{kd} is due to the crack tip stress field, τ_{d^2} is the image stress due to the crack faces, and τ_{dd_j} is the interaction stress due to the j th dislocation in the presence of the crack. To avoid a removable singularity found in [28], these terms can be expressed in terms of complex variables using the results of Lakshmanan and Li [24] as

$$\tau_{kd} = \frac{K_I^\infty}{\sqrt{2\pi}|z_i|} \sin\left(\frac{\theta_i}{2}\right) \cos^2\left(\frac{\theta_i}{2}\right) \quad (2)$$

$$\tau_{d^2} = \frac{\mu}{2\pi(1-\nu)} [\cos(2\phi_i) \text{Re}(B_x + B) - \sin(2\phi_i) \text{Im}(B_x + B)]$$

$$\tau_{dd_j} = \frac{\mu}{2\pi(1-\nu)} [\cos(2\phi_i) \text{Re}(B_x + B_{0x} + B + B_0) - \sin(2\phi_i) \text{Im}(B_x + B_{0x} + B + B_0)]$$

where K_I^∞ is the far-field stress intensity, z_i is the position of the i th dislocation measured in the complex plane with origin at the crack tip, θ_i and ϕ_i are the slip plane angle and Burgers vector angle, respectively, for the i th dislocation as shown in Fig. 1, μ is the shear modulus, ν is the Poisson's ratio, B_x , B , B_0 , and B_{0x} are functions of complex variables derived in [24] and given explicitly in the Appendix, and $\text{Re}(\cdot)$ and $\text{Im}(\cdot)$ represent the real and imaginary parts of the complex function in the brackets.

A dislocation moves away from the crack tip if the shear stress acting upon it is greater than the lattice friction stress, τ_f , i.e. $\tau_i > \tau_f$. Its motion is a thermally activated glide process with the velocity obeying an Arrhenius-type relation, given by

$$v_i = v_0 \left(\frac{\tau_i - \tau_f}{\tau_0} \right)^m \exp\left(-\frac{Q}{kT}\right) \quad (3)$$

where v_0 and τ_0 are the material specific reference dislocation velocity and reference shear stress, respectively, m is the stress exponent, Q is the activation energy for dislocation glide, k is the Boltzmann constant, and T is the absolute temperature. The material properties used in this simulation are given in Table 1. The material constants in equation (3) are determined from Imai and Sumino [29] with τ_f chosen to fit their data for p -type, heavily doped silicon.

Table 1 Material properties for Si used in this simulation

μ	ν	K_{Ic}	B	r_0	τ_f	v_0	τ_0	Q	m
60.5	0.215	0.95	0.384	4	5	1.0×10^4	1	2.2	1
GPa		MPa \sqrt{m}	nm	nm	MPa	m/s	MPa	eV	

The simulation procedure is as follows. With a given loading rate, \dot{K}_I^∞ , and a specified temperature, T , the simulation proceeds by marching forward by constant time steps Δt . For each time step, the far-field stress intensity, K_I^∞ , and the shear stress on each dislocation are calculated. If the stress on the i th dislocation is greater than the lattice friction stress, then the dislocation moves a distance $v_i \Delta t$. The magnitude of the time step is chosen such that during the entire simulation no dislocation will move beyond the position of the next farthest dislocation at the previous time.

Dislocations are assumed to nucleate at a distance r_0 from the crack tip in a designated slip system when the dislocation nucleation condition is satisfied. The distance r_0 is approximately the dislocation core width [9] and is given in Table 1. For each time step, a new dislocation is placed at r_0 and the resolved shear stress acting on this dislocation is calculated. If the shear stress is sufficient for the new dislocation to move then the dislocation remains. Otherwise it is removed. For the parameters used in this simulation, at least one dislocation was nucleated on every slip plane. Thus $K_I^\infty / K_{Ic} > 1$ even for brittle fracture.

The simulation continues until either cleavage fracture or ductile failure occurs. Cleavage fracture occurs when the local crack tip stress intensity, K_I^{tip} , reaches the intrinsic fracture toughness, K_{Ic} , where K_I^{tip} is calculated as [24]

$$K_I^{tip} = K_I^\infty - \sum_i \frac{b\mu}{(1-\nu)\sqrt{2\pi|z_i|}} \left\{ \frac{1}{2} \sin\left(\phi_i + \frac{\theta_i}{2}\right) - \frac{3}{4} \sin\left(\frac{\theta_i}{2} - \phi_i\right) + \frac{1}{4} \sin\left(\frac{5\theta_i}{2} - \phi_i\right) \right\} \quad (4)$$

where b is the magnitude of the Burgers vector. Ductile failure occurs when the crack tip shielding by the emitted dislocations is sufficient to prevent the crack tip from reaching the Griffith condition. It is assumed to occur when the applied stress intensity is sufficiently larger than the intrinsic fracture toughness. In the present simulation, ductile failure is assumed to occur when $K_I^\infty \geq 7 K_{Ic}$. Seven was chosen based upon experimental results [3]. However, its actual magnitude does not affect the BDT temperature significantly for sharp transitions.

3. Effect of including interactions between different slip systems

From dislocation theory, it is known that the interaction force between two dislocations of the same sign lying in roughly the same plane is repulsive. From this perspective, it may be argued that including the interaction stresses from dislocations on the other side of the crack should aid dislocation motion. This increased mobility may lower the brittle-ductile transition temperature and increase the sharpness of the transition. However, this is not the case due to the nature of the crack-dislocations system.

The τ_{ddj} term in equation (1) represents the interaction shear stress between two dislocations in the presence of the crack. This interaction stress is illustrated in Fig. 2, in which the stress acting upon a dislocation at $z_i=(r_i, \theta_i)$ by a dislocation at the variable position $z_j=(r_j, \pm\theta_j)$ is plotted versus r_j . Negative r_j corresponds to the $-\theta_j$ branch of the symmetric pair. The Burgers vector for each branch is shown in Fig. 1. In Fig. 2, the crack lies along the negative τ -axis with the crack tip at the origin. Positive interaction stress indicates that the dislocation at r_i is pushed away from the crack tip, whereas negative stress drives the dislocation toward the crack tip. The interaction stresses are

shown for three paired systems in silicon with angles of 45° , 90° and 135° . Figure 2 shows that when the two dislocations are in the same branch (i.e., when $r_j > 0$) the interaction stress drives the dislocation at r_i away from the crack when $r_j < r_i$ and drives it toward the crack when $r_j > r_i$. However, an interesting result was obtained when the two dislocations are in different branches (i.e., when $r_j < 0$). For the 45° and 90° pairs, the stress is positive if $|r_j| < r_i$ and negative when $|r_j| > r_i$; whereas the sign of the stress is reversed for the 135° pair. The interaction between the dislocations can therefore be repulsive or attractive depending upon relative location and slip plane angle. The crossover between the two behaviors occurs between 104° and 105° . For all angles, the magnitude of the interaction stress caused by dislocations in the opposite branch is much smaller than that caused by dislocations in the same branch. Angles of interest for silicon would lie between 45° and 135° where shielding can be significant. Plots of interaction stresses for smaller angles reveal more oscillatory behavior in the negative branch that is not explored here. It is significant that the stress vanishes at the symmetric position, i.e. $r_j = -r_i$, as noted by Lakshmanan and Li [24]. They also found that the net effect of interactions between symmetric slip systems could be repulsive or attractive depending upon the angle, but not at the same angles that we found.

There have been some concerns about the stability of symmetric models [11]. It was argued that initially symmetrically placed dislocation pairs may deviate from the symmetric arrangement as they move under applied stress, even under pure Mode I crack tip stress intensity. Such concern was investigated in this study. As noted above the interaction stress between two symmetrically placed dislocations is zero so they do not simply drive each other away from the crack tip. In a simulation of a pair of symmetric slip systems with a single dislocation in each slip plane under constant applied stress intensity, we found that the dislocations move outward to equilibrium positions influenced mainly by the K -field. There is a small influence of the image stresses and a very small influence of the interaction term. Because the K -field is so dominant, the dislocations tend to end up in symmetric equilibrium positions even when they started asymmetrically. The process of approaching symmetric positions is slightly retarded for 45° and 90° systems by the interaction stress, which tends to drive the dislocations away from symmetry. Likewise, it is enhanced for the 135° system since the interaction stress tends to drive the dislocations toward symmetry (see Fig. 2).

Although the magnitude of interaction stresses between different slip systems is small compared with that of other stress components, their effect on the transition behavior is significant. Such effect is illustrated in Figs. 3 and 4, which show the applied stress intensity and total number of emitted dislocations at fracture as functions of temperature. Each of the three angular pairs is simulated separately both with and without inter-branch interactions. Figures 3 and 4 show that including the interactions for the 45° and 90° pairs causes the brittle-ductile transition to shift to a higher temperature and to become more gradual. Including the interactions for the 135° pair provides some shielding where there was effectively none when inter-branch interactions were not accounted for. All of these two slip system models with the inter-branch interactions accounted for predict a gradual transition, which is not in agreement with experimental observations for silicon where the transition is very sharp. In the following section, the effect of multiple slip planes is considered to investigate how the number of active slip systems affects the sharpness of the transition.

4. Simulation with multiple slip systems

Using X-ray topography, George and Michot [21] observed that multiple slip systems were activated around crack tips in silicon near the transition temperature. For the crack tip orientation considered in the present study (i.e., $\{110\}$ crack plane and $\langle 110 \rangle$ crack growth direction), they found that, of the twelve possible slip systems, five were always activated, three were activated in some cases, and four were never activated. The challenge of using a two-dimensional model is that some approximation must be made in choosing the slip plane angles. We chose angles of $\pm 45^\circ$ and $\pm 135^\circ$ since these are the angles of the Burgers vectors projected onto the x - y plane for this crystallographic orientation. A pair of $\pm 90^\circ$ planes was also chosen to be consistent with [10], to give a good spacing with the other planes, and to be in the direction of some of the slip traces emerging at the specimen surface. These planes seem reasonable after viewing the X-ray topographs for this orientation by George and Michot (see gamma configuration in Fig. 6 of [21]).

To illustrate the effects of multiple slip systems two further cases were explored: one with four slip systems ($\pm 45^\circ$ and $\pm 135^\circ$) and one with six slip systems ($\pm 45^\circ$, $\pm 90^\circ$ and $\pm 135^\circ$). During the simulation, dislocation emission on each slip system pair is considered separately. Therefore, different branches may contain different numbers of dislocations as the simulation progresses.

The resulting transition behaviors for different loading rates are plotted as applied stress intensity versus temperature in Figs. 5 and 6 for the four and six-slip system cases, respectively. Kinks in the curves occur when the same number of dislocations is emitted at fracture for more than one temperature. Then the dislocation arrays spread farther at the higher temperature and shield the tip less. With only minor variation, the curves for applied stress intensity are shifted only by the change in loading rate, with higher loading rates giving rise to higher BDT temperatures. The same shift occurs in the number of dislocations versus temperature curves (not shown).

It is noted that the dislocation arrays at transition are nearly the same for all loading rates. These are shown for the four-slip system case in Fig. 7. As seen more clearly in the close-up, only the $\dot{K}_I^\infty/K_{Ic} = 100/\text{sec}$ arrays are slightly different as a few more dislocations were emitted at transition. All the other simulations showed even better agreement. The similarity of the arrays indicates that the plastic zone size required for a transition to ductile behavior is independent of the loading rate, which agrees with observations made in [21].

For comparison, the transition behaviors at a single loading rate ($\dot{K}_I^\infty/K_{Ic} = 1/\text{sec}$) for two, four and six slip systems are shown in Figs. 8 and 9. Figure 8 shows the applied stress intensity at fracture versus temperature, and Fig. 9 shows the total number of dislocations for all slip systems emitted at fracture versus temperature. It is seen that, as the number of active slip systems increases, the transition becomes sharper. The sharpest transition occurs with a sudden jump in the number of emitted dislocations.

The transition curves for four slip systems at $\pm 70^\circ$ and $\pm 110^\circ$ are included in Figs. 8 and 9 to illustrate that the behavior depends on the actual angles chosen. Planes closer to 70.53° have higher resolved shear stresses for dislocation nucleation, provide stronger shielding, and result in a sharper transition behavior.

5. Discussion

It is shown in Figs. 4 and 9 that sharper transitions involve relatively fewer dislocations than gradual transitions. Examination of the dislocation distributions reveals that the dislocations remain close to the crack tip when the transition is sharp, and therefore only a relatively small number of dislocations are needed to provide strong shielding to the crack tip. This turns out to be the most important effect of the inter-branch dislocation interactions on the simulations with two slip systems. With the two slip systems at either $\pm 45^\circ$ or $\pm 90^\circ$, each with the same number of dislocations, the effect of inter-branch interaction is to spread the dislocation distribution to about twice the size as that without interaction forces. Conversely, the distribution for the $\pm 135^\circ$ system is about twice as spread out when the interaction forces are not included compared with that with interactions accounted for. With multiple slip systems, the effects of the interaction forces are compensated by being able to pack more dislocations close to the crack tip since the dislocations are on different planes.

To illustrate the importance of both near-tip dislocation density and shielding effectiveness of various planes, the dislocation arrays for two, four and six slip systems are illustrated in Fig. 10. These are all at the moment of brittle fracture with 50 total dislocations and different temperatures and applied loads. The $45^\circ/135^\circ$ system provides very similar shielding to the 90° system (see Fig. 8). The higher near-tip dislocation density of the $45^\circ/135^\circ$ system is necessary to compensate for the reduction in shielding effectiveness of these angles compared with 90° . The near-tip dislocation density becomes much more important for the six slip systems. For the same total number of dislocations, the plastic zone size at fracture for four slip systems is six times larger than the zone for six slip systems. Therefore, the shielding was nearly twice as strong for six slip systems.

As noted in the introduction, Qian and Li [25, 26] have modeled dislocation distributions at the crack tip at equilibrium for multiple slip systems. Such equilibrium models are not suited to modeling actual fracture experiments because the experiments occur on a time scale much faster than the time scale for equilibrium [6]. Discussion of their results is interesting because they observed different behavior concerning the order of dislocation nucleation and the effect of multiple slip systems on shielding. The differences may stem more from their algorithm than from an equilibrium versus dynamic modeling argument. Their algorithm involved introducing new dislocations near the crack tip and allowing any of the dislocations to move one Burgers vector at a time so long as its slip force is greater than the lattice friction. Dislocation motion continued after each nucleation event until equilibrium was reached. Two nucleation scenarios were investigated: sequential emission [25] where one pair of dislocations was nucleated on the symmetric planes with the highest slip force, and simultaneous emission [26] where a pair of dislocations was nucleated on every pair of planes with a slip force exceeding the lattice friction.

Even with a big difference in friction stress between their models and the present model, the resulting dislocation distributions for four slip systems (Fig. 10 here compared with Fig. 10 of [25] and Fig. 3 of [26]) are similar in terms of array size and total dislocations for a similar applied load and similar slip system angles. The difference is in the order of dislocation nucleation. In the present model, dislocations were emitted in a pattern of one at 45° , one at 135° , two at 45° , one at 135° , and then repeating to give a

3/2 ratio between the 45° and the 135° systems. This ratio may depend sensitively on the selection of slip plane angles at the crack tip. This alternating emission of dislocations in different planes is also observed in the simulation with six slip systems.

In sequential emission, they reported that the 60° slip planes saturated first and then emission switched to the 120° planes. This is a direct consequence of waiting for equilibrium between each nucleation event. As they have shown [25], nucleation is preferred on the 70° plane where the crack tip stress field is highest. Thus, by waiting between each nucleation, the sources nearest 70° are freed up to nucleate again, rather than being shut down by the back stress of the previous dislocation. If nucleation were allowed at any time when the stress at the source exceeds the friction stress, then other slip systems would be activated in an alternating pattern.

In their simultaneous emission simulations, dislocations are forced into each slip system until the 120° planes are saturated and then the 60° plane continues alone until saturation. The ratio ends up close to the 2/3 seen in our simulation, but forcing simultaneous emission seems physically unrealistic. Although it has been shown that there is no interaction force between sources along a circle about the crack tip [27], the source with the highest stress would be activated first and its dislocation would interact with the other sources as soon as it moves. Sources nearby in terms of slip system angle would probably be shut down, but the effect on other sources cannot be assumed. The decrease in shielding effectiveness for more slip systems with the same total number of dislocations is a direct consequence of forced emission.

Our results showed qualitatively what the influences of inter-branch interaction forces and multiple slip systems are on the BDT behavior. However, the actual transition temperatures predicted by our simulations are much too low. Compared with the summary of experimental results in [7], the BDT temperatures predicted by our simulations are about 400°C too low. The shielding provided by dislocations completely parallel to the crack front (as is the case for the present 2D simulation) is much larger than that by real 3D dislocations. Other structure-dependent modifications, such as atomic step formation at the crack tip due to dislocation nucleation or crack tip blunting, must be included to adjust the transition temperature. Although these results are only qualitative, they do show the influence of multiple slip systems and can help us understand why the transition in silicon can be so sharp.

6. Conclusions

The present study shows that, although the interaction forces between dislocations in different slip systems are small, their influence on the brittle-ductile transition behavior is significant. They should be included in any such dynamic dislocation simulation.

Multiple slip systems increase the crack tip shielding by increasing the near tip dislocation density. Thus, fewer dislocations can be more effective at shielding the crack tip. The brittle-ductile transition occurs sharply when the dislocation number can increase rapidly while the dislocation array is still compact around the crack tip.

The sharpness of the brittle-ductile transition in silicon is strongly dependent on the number of active slip systems. The predicted transition behavior by the present simulations sharpens as the number of slip systems approaches the experimentally determined number. This dependence may be checked in tests that suppress certain slip systems.

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Appendix

Lakshmanan and Li [24] substituted the image distribution of Burgers vectors caused by a single dislocation in the presence of a crack into the equations for the stress field of a single edge dislocation. By integrating the result over the crack plane and adding the stress field of the dislocation itself, they arrived at an expression for the forces on a dislocation with Burgers vector $b_i \exp(i\phi_i)$ at any position in the complex plane, $z_i = r_i \exp(i\theta_i)$, due to a dislocation with Burgers vector $b_j \exp(i\phi_j)$ at $z_j = r_j \exp(i\theta_j)$. The crack lies along the negative real axis. The real and imaginary parts are $\text{Re}(\cdot)$ and $\text{Im}(\cdot)$, respectively. Also μ is the shear modulus, ν is the Poisson's ratio, and K_I^∞ is the far-field stress intensity. The image, interaction and crack tip stresses can be written as

$$\tau_{kd} = \frac{K_I^\infty}{\sqrt{2\pi|z_i|}} \sin\left(\frac{\theta_i}{2}\right) \cos^2\left(\frac{\theta_i}{2}\right)$$

$$\tau_{d^2} = \frac{\mu}{2\pi(1-\nu)} [\cos(2\phi_i) \text{Re}(B_x + B) - \sin(2\phi_i) \text{Im}(B_x + B)] \quad (\text{A1})$$

$$\tau_{dd_j} = \frac{\mu}{2\pi(1-\nu)} [\cos(2\phi_i) \text{Re}(B_x + B_{0x} + B + B_0) - \sin(2\phi_i) \text{Im}(B_x + B_{0x} + B + B_0)]$$

where B_x , B_{0x} , B and B_0 are given as

$$B_x = b_j (A_1 X_1 + A_2 X_2 + A_3 X_3 + A_4 X_4)$$

$$B_{0x} = \frac{b_j \cos \phi_j}{z_i - z_j} \quad (\text{A2})$$

$$B = ib_j \text{Im}(z_i) (A_5 Z_1 + A_6 Z_2 + A_7 Z_3)$$

$$B_0 = -\frac{ib_j}{(z_i - z_j)^2} [\text{Im}(z_i) - \text{Im}(z_j)] [\cos(\phi_j) + i \sin(\phi_j)]$$

where A_n ($n=1, 2, \dots, 7$) are

$$A_1 = \frac{-1}{z_i^{1/2} (z_i^{1/2} + \bar{z}_j^{1/2})}$$

$$A_2 = \frac{-1}{z_i^{1/2} (z_i^{1/2} + z_j^{1/2})}$$

$$A_3 = -\frac{2\bar{z}_j^{1/2} z_j + z_i^{1/2} z_j + z_i^{1/2} \bar{z}_j}{2z_i^{1/2} \bar{z}_j (z_i^{1/2} + \bar{z}_j^{1/2})^2}$$

$$A_4 = -\frac{2z_j^{1/2}\bar{z}_j + z_i^{1/2}\bar{z}_j + z_i^{1/2}z_j}{2z_i^{1/2}z_j(z_i^{1/2} + z_j^{1/2})^2} \quad (\text{A3})$$

$$A_5 = \frac{\bar{z}_j^{1/2} + 2z_i^{1/2}}{2z_i^{3/2}(z_i^{1/2} + \bar{z}_j^{1/2})^2}$$

$$A_6 = \frac{z_j^{1/2} + 2z_i^{1/2}}{2z_i^{3/2}(z_i^{1/2} + z_j^{1/2})^2}$$

$$A_7 = \frac{z_j\bar{z}_j + z_i\bar{z}_j + 3z_i^{1/2}z_j\bar{z}_j^{1/2} + z_i z_j}{2z_i^{3/2}\bar{z}_j(z_i^{1/2} + \bar{z}_j^{1/2})^3}$$

and X_n ($n=1, 2, 3, 4$), Y_n ($n=1, 2, 3$) and Z_n ($n=1, 2, 3$) are

$$X_1 = \frac{1}{4}\exp(i\phi_j) + \frac{1}{8}\exp\{i(-\phi_j)\} - \frac{1}{8}\exp\{i(-\phi_j + 2\theta_j)\}$$

$$X_2 = \bar{X}_1$$

$$X_3 = \frac{1}{4}\exp\{i(-\phi_j)\}$$

$$X_4 = \bar{X}_3 \quad (\text{A4})$$

$$Y_1 = -\frac{i}{4}\exp(i\phi_j) + \frac{3i}{8}\exp\{i(-\phi_j)\} + \frac{i}{8}\exp\{i(-\phi_j + 2\theta_j)\}$$

$$Y_2 = \bar{Y}_1$$

$$Y_3 = -\frac{i}{4}\exp\{i(-\phi_j)\}$$

$$Z_1 = X_1 + iY_1$$

$$Z_2 = X_2 + iY_2$$

$$Z_3 = X_3 + iY_3$$

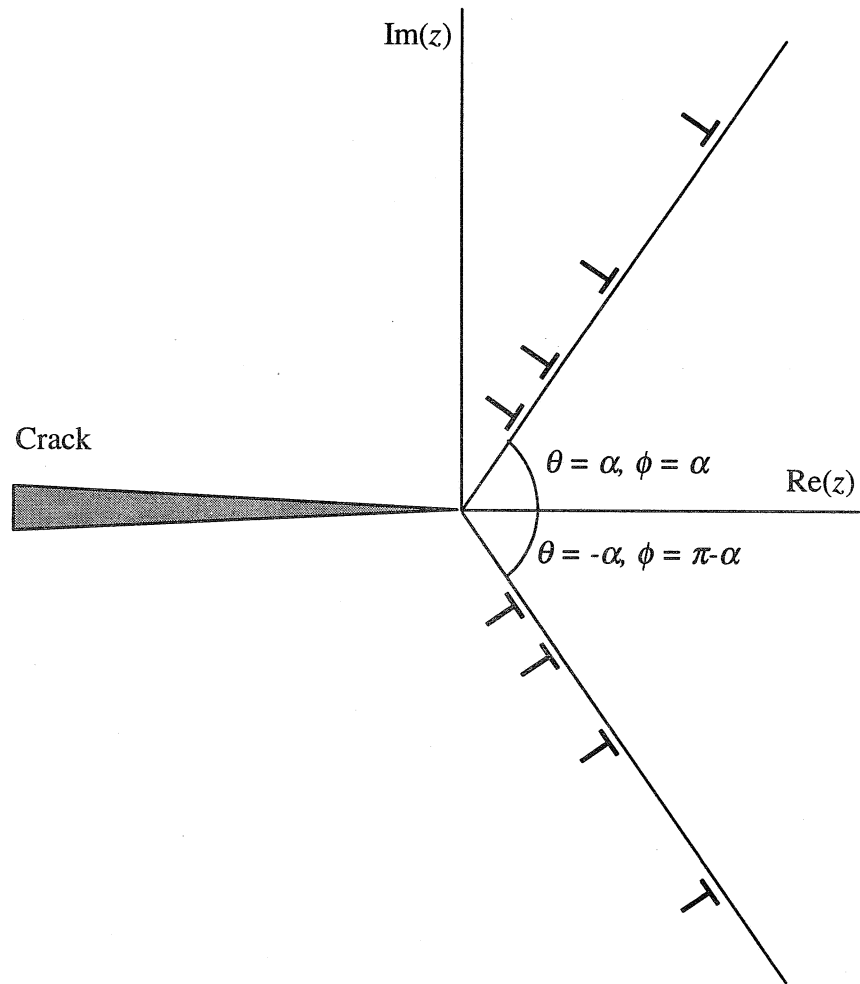


Figure 1 Model with a pair of symmetric slip systems at a general angle α . Slip planes lie in the θ direction and Burgers vectors point in the ϕ direction.

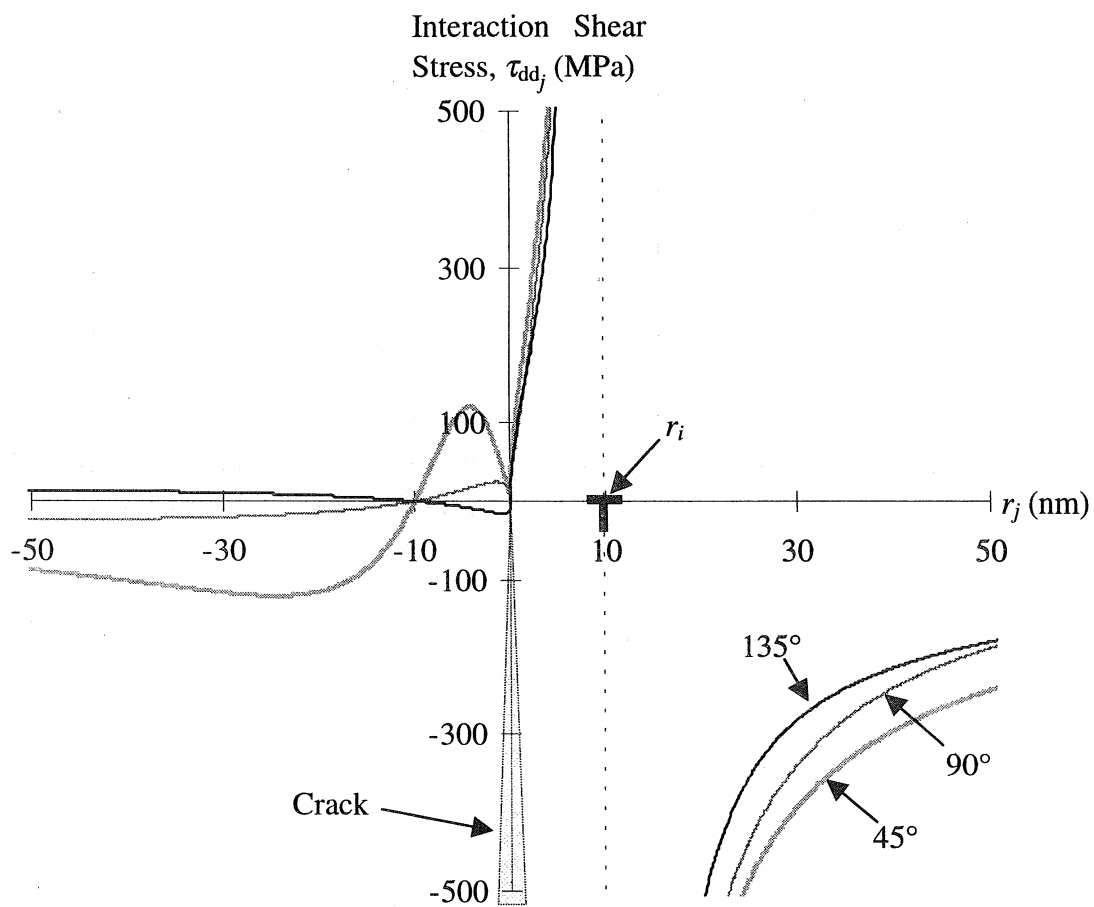


Figure 2 Interaction shear stress on a dislocation at r_i (fixed) due to a dislocation at r_j (variable).

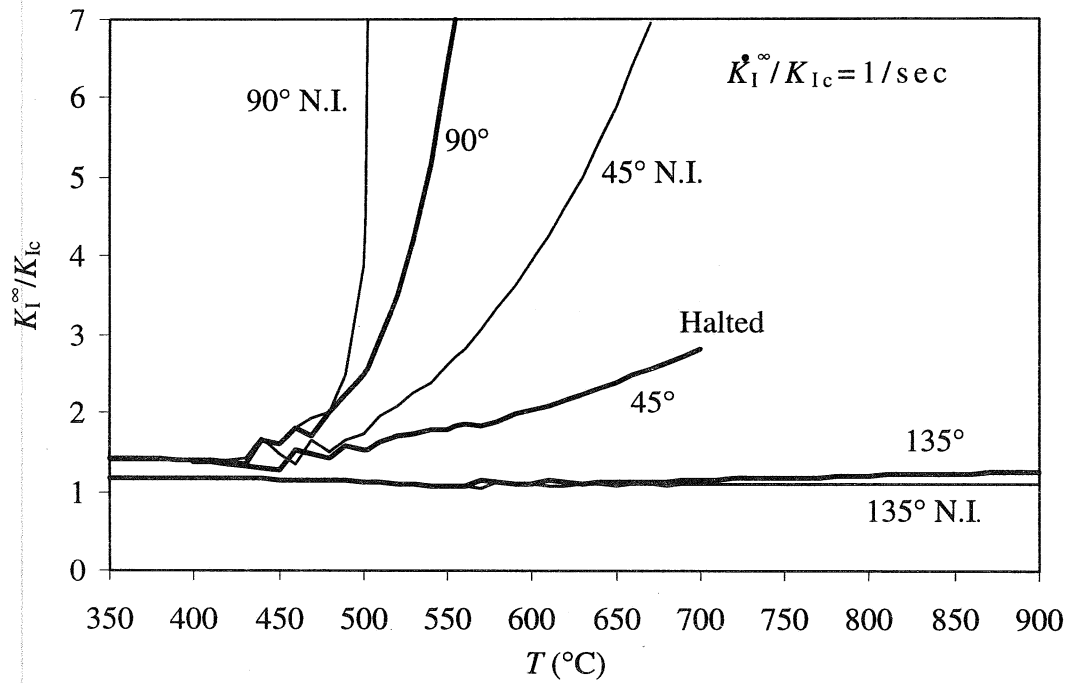


Figure 3 Applied stress intensity at fracture for different angles with or without inter-branch interaction forces. The simulation was performed only up to 700°C for the $\pm 45^\circ$ systems. N.I. represents no interaction.

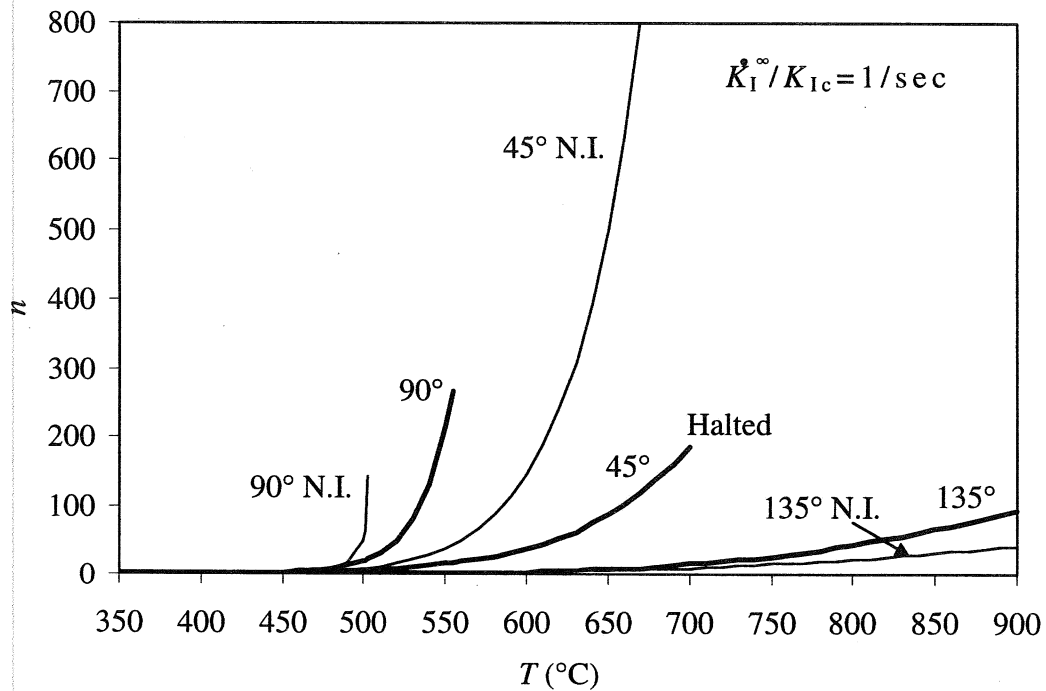


Figure 4 Total dislocations produced at fracture for different angles with or without inter-branch interaction forces. The simulation was performed only up to 700°C for the $\pm 45^\circ$ systems. N.I. represents no interaction.

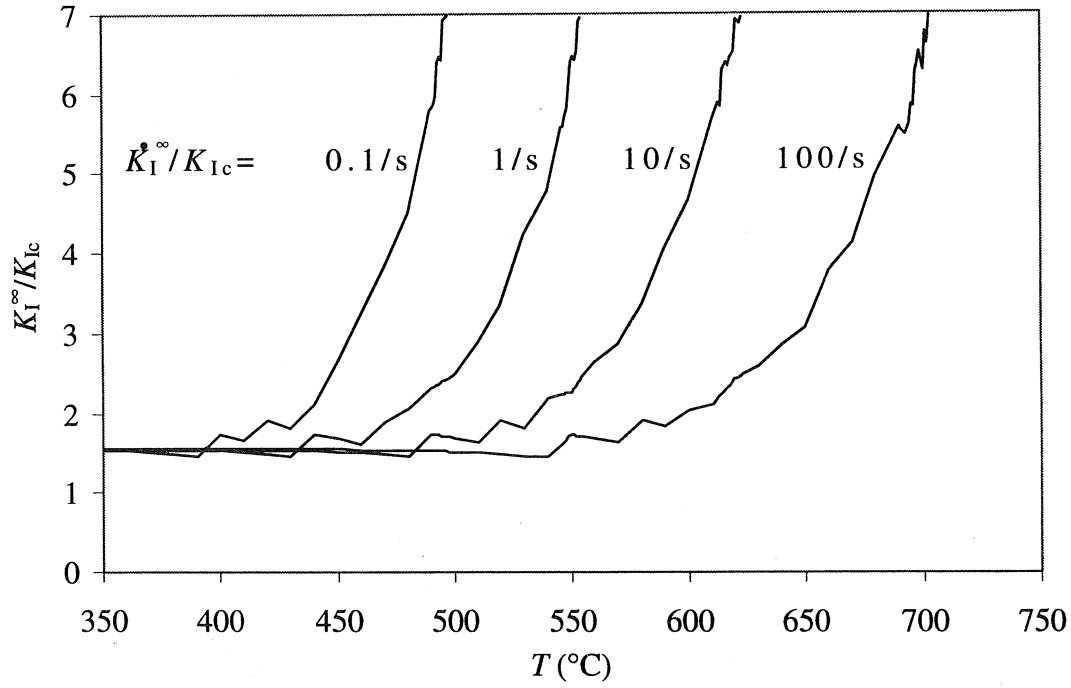


Figure 5 Applied stress intensity at fracture for four slip systems ($\pm 45^\circ$, $\pm 135^\circ$) at different loading rates.

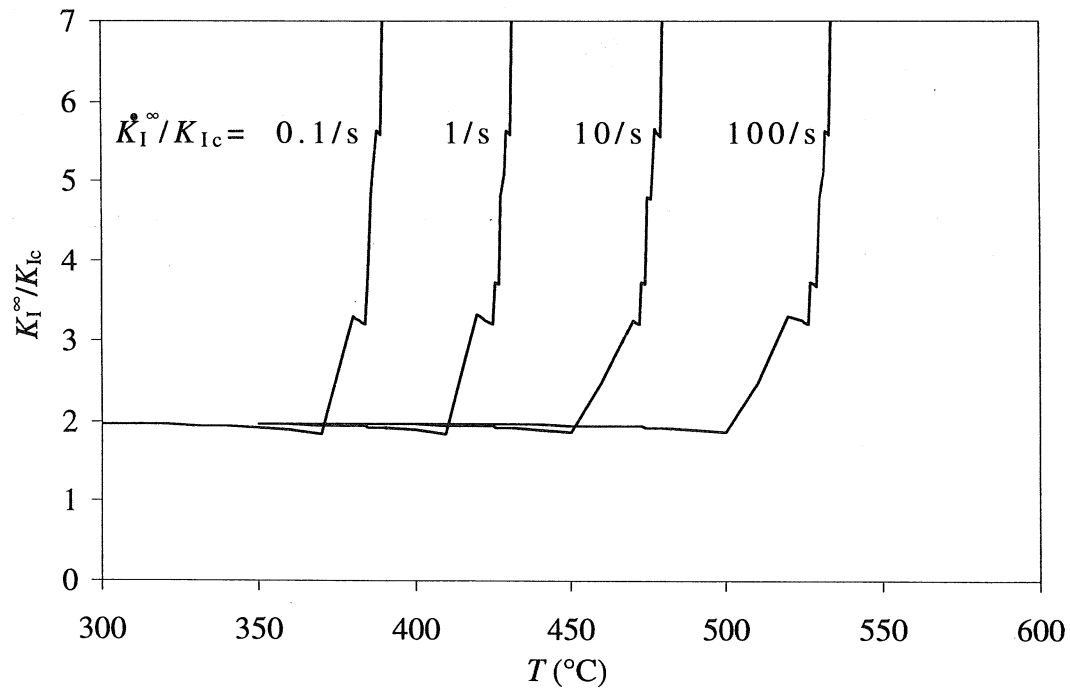


Figure 6 Applied stress intensity at fracture for six slip systems ($\pm 45^\circ$, $\pm 90^\circ$, $\pm 135^\circ$) at different loading rates.

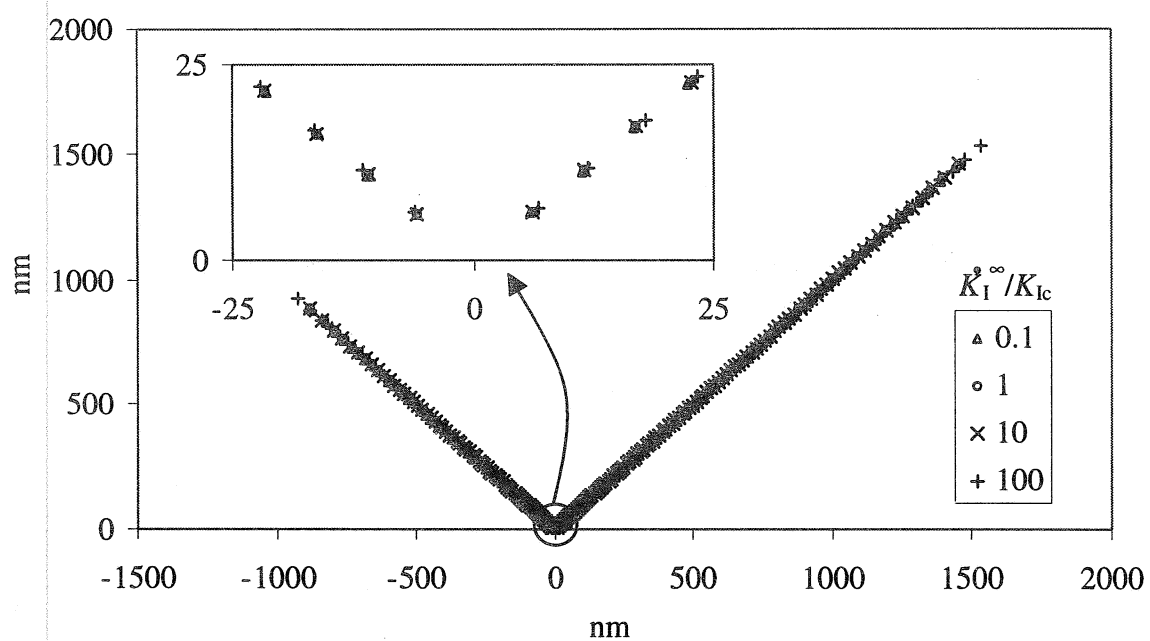


Figure 7 Dislocation arrays at different loading rates at the moment of fracture at the BDT temperature.

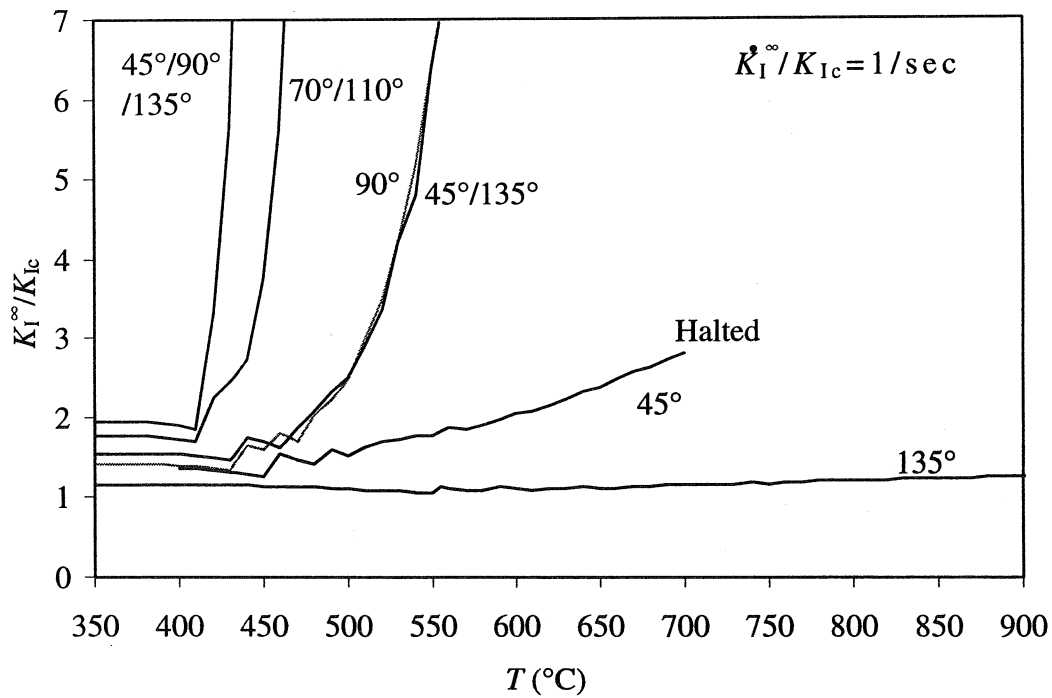


Figure 8 Effect of multiple slip systems on applied stress intensity at fracture.

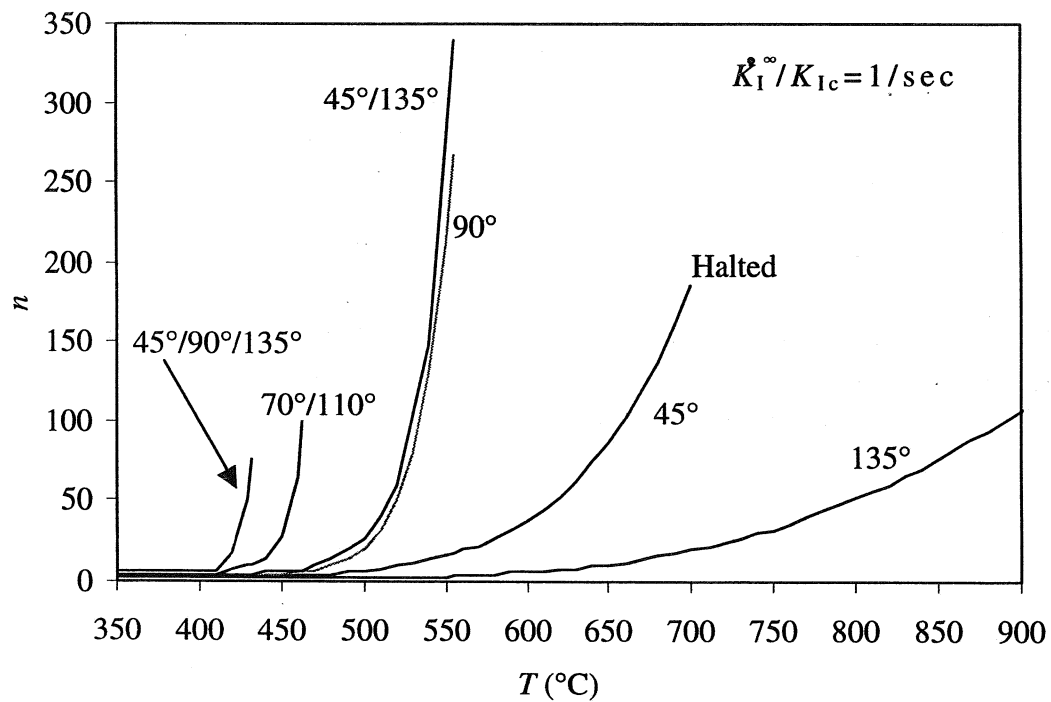


Figure 9 Effect of multiple slip systems on total dislocations emitted at fracture.

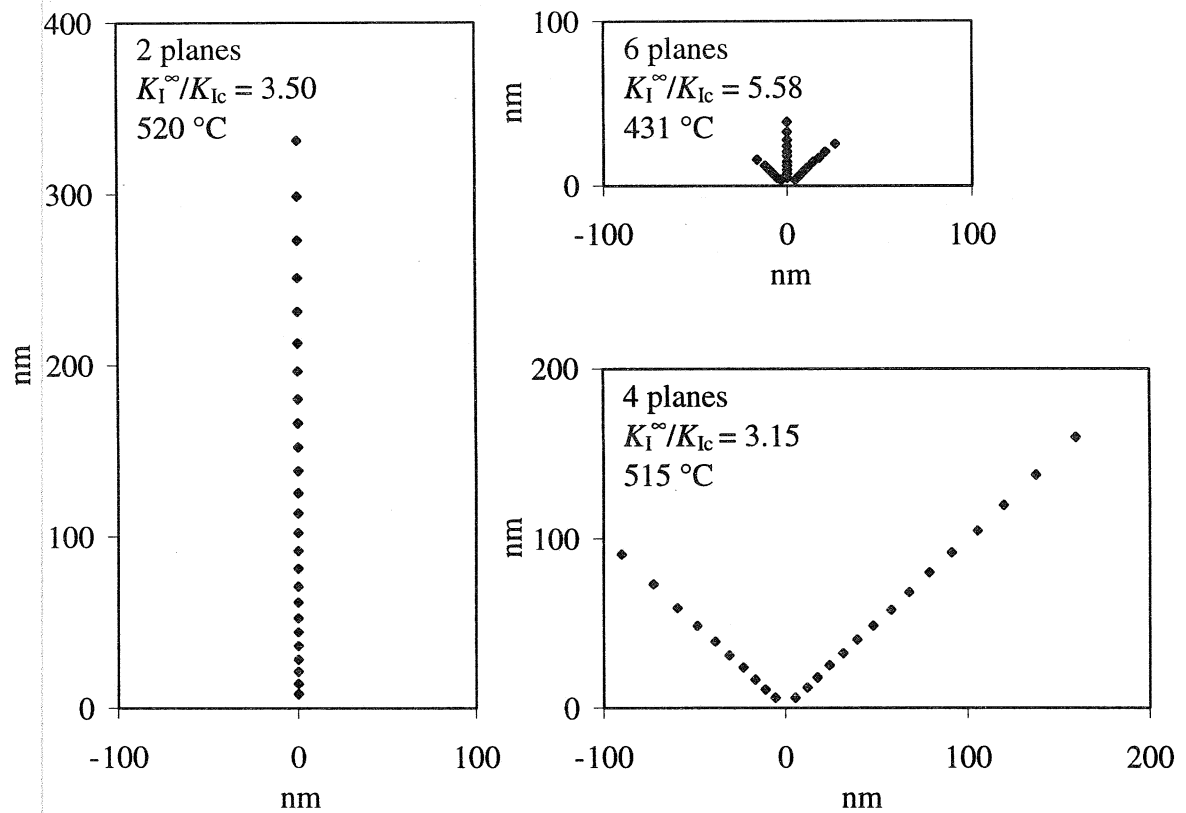


Figure 10 Dislocation arrays in the upper half plane for two, four and six slip systems. All three cases are for a loading rate of $\dot{K}_I^\infty / K_{Ic} = 1/\text{sec}$ and are shown at the moment of brittle failure with 50 total dislocations.

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